

Strategy and Performance Dialogue 2018 – 2021

HEI Self Evaluation Report on Progress

1 September 2018 – 31 August 2021

Section A: Summary Information

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Section B: Overview of Institutional Strategic Development and Performance

Critical Reflection	NUI Galway's mission is to foster a vibrant community of students and staff, where distinguished learning, impactful research and creative thinking are shared with the world.
Max. 5,600-character limit (750-800 words approx.)	Its vision is to be a leading international University, renowned for its distinctive areas of research, teaching and scholarship, celebrated for its outstanding engagement with wider society, and enriched by dynamic partnerships.
	Over the three years of this second cycle of Strategic Dialogue, NUI Galway had prioritised the development of an ambitious internationalisation strategy, enhancing our research performance and further expansion of priority research areas, strengthening the employability dimenion of our programmes, expanding flexible learning opportunities, increasing equality of opportunity to reflect the diversity and social mix of Ireland's population, and building on existing progress on advancing gender equality.
	The challenging context of the Covid-19 public health emergency has made it difficult to maintain progress on all Compact targets. The pivot to remote learning and working, with limited access to campuses at various stages over the last 2 years, has resulted in significant disruption to strategic plans, to planned progress on certain projects, and in some cases to a complete change of priorities as more immediate needs to protect the health and safety of our community and to maintain our core teaching and research programmes in a new mode of delivery overtook longer term goals.
	Implementing an ambitious internationalisation strategy has been a key institutional objective of the Compact. Accelerating further growth in international student numbers together with developing a more international perspective in staff and students, were important priorities for Cycle Two. Global travel restrictions had a significant impact on international student recruitment and on student mobility in general, making it difficult to achieve Compact targets in these areas.
	However, a major milestone was reached with the launch of a new strategic initiative, Global Galway, a vision for future internationalisation across the University by building the structures and processes to deliver a Globalised University. In addition, the award of EU European Universities Initiative funding to establish a European network of 9 universities, known as ENLIGHT (www.enlight-eu.org) was a further highlight of Cycle Two. Membership of ENLIGHT aims to expand mobility opportunities for students and staff, and enable cross-institution educational innovation, building of research infrastructure and regional engagement.
	The restrictions imposed by the public health emergency and the switch to remote learning for lengthy periods over the last 2 years has had an impact on the quality of the student experience and on student engagement, and reported levels of anxiety and mental health difficulties in students are higher than in previous years. While very good progress has been made on

meeting progression and retention targets over the course of Cycle 2, a combination of students entering university programmes on inflated Leaving Cert grades and the adjustment to remote learning is likely to have an impact on progression in future years. There were also many positive aspects to the switch to remote learning, the learnings from which are informing teaching plans for next year and have been incorporated into the University's new Academic Strategy. One of NUI Galway's key priorities in Cycle Two of the Strategic Dialogue has been to enhance research performance, increase the quality and quantity of our research outputs, and build our research profile in priority areas. In Cycle Two we aimed to broaden the base of staff engaged in competitive research programmes; to attract and retain the best researchers and to further enhance the quality of research outputs. While Covid-19 certainly interrupted progress on some research projects, NUI Galway performed strongly in competitive national and European research awards, more than doubling the research funding secured from the Horizon 2020 programme, relative to the previous Framework 7 Programme. The majority of research output targets have been achieved or exceeded.
Similarly, gender equality is a particular area of focus for NUI Galway and all targets for all three years have been fully met or exceeded. NUI Galway has made considerable progress on improving equality of opportunity to reflect the diversity and social mix of Ireland's population. The number of FE places available at entry level has increased significantly, while the award of funding for the Designing Futures (formerly Aspire) project under Human Capital Initiative Pillar 3 is a very significant step forward in the development of employability skills. Given the extremely challenging context of COVID-19 for the HE sector since March 2020, NUI Galway has performed well to meet or exceed targets in most cases and to stay on track with the vast majority of targets defined for the 3 years of the Compact.

Section C: Key System Objectives (KSOs)

KSO 1	Providing a strong talent pipeline combining knowledge, skills & employability which responds effectively to the needs of our enterprise, public service and community sectors, both nationally and regionally, and maintains Irish leadership in Europe for skill availability		
KSO 1 Summary Statement	Strategic priorities under KSO1 relate to expanding NUI Galway's employability offering and creating more flexible learning opportunities. Key highlights include:		
	Meeting targets for inclusion of work-based learning in UG programmes: 91%;		
Max. 4,200-character	• 24% growth in student numbers undertaking work-based learning (WBL) experiences;		
limit (550-600 words approx.)	Meeting Employability Award targets of >300 students;		
upprox.y	6% growth in part-time, flexible student numbers;		
	Development of 9 new special purpose awards;		
	• 50% increase in CPD registrations on base year;		
	28% increase in student places (teacher training through Irish);		
	• 50% increase in student places (Modern languages);		
	Winner of the AHECS Research Informed Practice for Elite Sports and Employability;		
	Winner of an International Award for Equality and Diversity (AGCAS);		
	Key challenges included:		
	- Pausing of Campus Jobs initiative - due to University closures and limited opportunities for students to work on campus. Additional funding is being sought for this project from other funds.		
	- Closing of certain sectors due to COVID lockdowns and lack of availability of work placements (biopharma, hospitality and social impact sectors in roles that were client-facing).		
	- Challenges for adult learners in managing work and family commitments alongside study during COVID and school shutdowns.		
	The work under SP1.1 was greatly enhanced by the Designing Futures programme, which focuses on further building student employability. The project has completed the development of new co-curricular employability modules for Science and Arts such as		

	Megatrends, Sustainability, Storytelling, Design Thinking and Vertically Integrated Multi-disciplinary projects. Industry and transversal employability are developed as part of these modules ensuring a relevant pipeline of graduates to industry.					
	The Employability Award supports work-place learning for Arts and Science programmes that do not currently have a WBL component as part of their accreditation. Achievements in this area match competitors in other Irish Universities who have 90% - 100% work- based learning components embedded into their programmes. The Directorate for External Engagement continues to focus on institutional enterprise and alumni engagement, leveraging these relationships for increased WBL opportunities for all students. Additionally, the University collaborates with the Regional Skills Forum to ensure identification of future workplace skills. While flexible learning enrolments did not reach the projected 20% of the overall student cohort due to a steady increase in full-time student numbers, at an overall consistent rate of 18% p.a., it compares well to national averages of UCD (18.4%) and UCC (17.4%) [ref: AY19/20 flexible enrolments]. Programme innovation in Business and Science and Technology with an emphasis on work-based learning has been strongly on target. CPD registrations have greatly increased and highlight the market interest for shorter, agile courses which meet industry skills needs. These modules can be stacked or provide progression pathways for students to stepped Cert/Diploma/Degree awards. The availability of funding through Springboard and for standalone modules under the July Stimulus in AY20/21 greatly enhanced CPD numbers during this period. Regional engagement with industry and community organisations has enabled a partnership model for course design and delivery. Collaborations with local organisations (i.e. Galway Traveller Movement, Community Action Network), enabled targeted course delivery to disadvantaged groups including members of the travelling community and learners with Stamp 4 status. Work to extend partnership groupings was inhibited by COVID during AY20/21 with plans to provide pre-programme interactions being deferred due to the changing nature of COVID restrictions and the scale of impact o					
	The University has made progress to address teaching shortages at second level in the provision of Computer Science teaching places and despite challenges in relation to the supply of suitable graduates for its MGO programme, has grown student numbers.					
Strategic Priority 1.1	Key Performance Indicators	Key Performance Indicators Pre-compact Baseline 2018 Overall Target 2018-2021 August 2021 KPI Value				
To develop and implement an employability strategy, incorporating				Max. 210-character limit (30 words approx.)		
	% and # of NUIG undergraduate programmes	16/17 Baseline - 56% (n=49) of 86 UG courses had a WBL component.	87% of Undergraduate programmes (or 53 of 61 programmes)	91% of Undergraduate programmes (or 63 out of 69 programmes)		

experiential learning, extracurricular participation, high- quality work experience, and strong connections with the world of work, to ensure our students are academically well- developed and prepared for life, work and citizenship.	that have a work-based learning component included.			
	% and # of undergraduate students that have the opportunity to undertake a work-based learning experience	44% of undergraduate students or 1,211 students undertaking work-based learning (<i>includes</i> <i>Employability Award & Campus</i> <i>Jobs figures</i>)	70% of undergraduate students undertaking work-based learning	68% of undergraduate students [n = 2,054] have the opportunity to undertake work-based learning (includes Employability Award, 217 students) Note: Covid-19 brought signficant challenges to this metric
	# of students employed by Campus Jobs Project	No Campus Jobs project in place. c.a. 200 u/g students employed in casual roles on campus	Continue roll out of Campus Jobs Project for 260 students	Progressing campus jobs was severely impacted by Covid19 restrictions and no data is available for this metric
	Roll of of Employability award	No baseline	Employability Award rolled out to 300 undergraduate students	A total of 332 students completed the Employability Award in 20/21. [Of this figure 217 students did not have the opportunity for placement in their undergraduate programmes.]
	% of programmes with Graduate Attribute statements	 Institutional level graduate attributes agreed. Discipline level graduate attributes not yet identified or embedded. 	Discipline-level graduate attributes developed and embedded at programme level and rolled out to all undergraduate students.	All Colleges adopted the GA statements,included in programme documentation. New Academic Strategy working group reviewed GA statements. Incoporated into Designing

				Futures and new student records systems.
	% using Personal Portfolio Tool and # of digital award badges	n/a	Roll out Personal Portfolio tool and award of digital badges	Moved to become part of Designing Futures project with new timeframe/targets in order to evaluate/embed robustly. 6,699 badges issued in reporting period (18-21) using Open Badge Factor tool.
SP 1.1 Commentary Max. 1,400-character limit (200 words approx.)	The work under this strategic project at NUI Galway which for additional practical and creative degree studies. The metrics for and the number of students cor 8% from 2019/2020. The Camp this did not impact overall prog programmes with workbased le	Colour rating for this Strategic Priority: Green		
	The Graduate Attributes project for future development. This in students to chart their individua now being addressed in the new responsibility for developing pro and skills development.			
Strategic Priority 1.2	Key Performance Indicators	August 2021 KPI Value		
Develop greater				Max. 210-character limit (30 words approx.)
opportunities for part- time, flexible and	% of Part-time and flexible learners	Part-time and flexible learners make up 18% of student body	20% of the student body	Part-time and flexible learning numbers as a percentage of the

blended learning, further enhancing our leadership in the provision of online learning and growing our cohort of part- time and flexible learners to 20% of the student body. Provide		in 2017/18 (3,268 students of total 18,071 student body)		overall student body have remained constant but actual numbers have grown to 3,393 students: 2018/19: 17.5% 2019/20: 18% 2020/21: 17.5%
for the continuing professional development needs of employees in our region and beyond, through the delivery of innovative programmes of learning and active engagement with communities.	# of new programmes for under-represented socio- economic groups and # of participants		Co-delivery with partner of two programmes for under- represented socio-economic groups	2018/19: Community Development (Travellers) (#24 students); 2019/20: Community Development (Youth workers) (#15students) Pre-University courses (Access): #2 courses p.a. (mixed target group)
	% increase in the # of Continuing Professional Development students	175 CPD students in 2017/18	+ 10% (or 217 students)	50% increase in CPD registrations since 2018: 2018/19: 188 students 2019/20: 225 students 2020/21: 356 students
	Special Purpose Awards delivered		 Special Purpose Awards delivered in: Business development areas (e.g. Learning and 	Focused on industry training needs courses offered over 1 year. Mode of delivery included opportunity to use the

			 Development, Talent Management, Leadership/E-Leadership) Change Management and Training and Education (i.e. Technology Enhanced Learning, Learning and Development) Earth and Ocean Sciences 	workplace as a basis for asssessment. 2018/19: 8 courses 2019/20: 9 courses 2020/21: 9 courses
SP 1.2 Commentary Max. 1,400-character limit (200 words approx.)	The percentage of flexible learners has remained consistent at 18% p.a. relative to overall student numbers which have increased over this 3 year period. However, there has been an increase in the absolute number of part-time students recorded (3,210:AY18/19, 3,390:AY19/20, 3,393:AY20/21). Despite this increase, it has been challenging to attain the projected 20% target by 2020/21 because the overall student body has also grown. Strong growth in CPD modules on offer show 50% growth on the base year. CPD development was supported by the establishment of a CPD Development Officer post. Growth was attained in areas relating to healthcare for nurses and in Science and Technology modules. Availability of funding through the July Stimulus fund for modules in 2020/21 accelerated this growth from AY19/20. Course development has been consistent during this 3 year period. New courses in Corporate Environmental Planning, Change Management, Earth & Ocean Sciences, Technology Enhanced Learning, and Critical Business Skills took place. Popular courses in Medical Device Science, Lean & Quality Systems, Automation & Control continued to be offered.			Colour rating for this Strategic Priority: Green
Strategic Priority 1.3	Key Performance Indicators	August 2021 KPI Value		
To support the				Max. 210-character limit (30 words approx.)
development and implementation of the policy recommendations	# of additional student places in priority areas including teacher training through Irish	46 studying Máistir Gairmiúil san Oideachas in 2017/18	Additional student places in priority areas including teacher training through Irish	The numbers of students on this programme have increased since the baseline year. Total student numbers are as follows

that will arise from the current work of the Teacher Supply Steering Group in addressing teacher shortages at second level in subject areas identified by the Department of Education and Skills in 2018.				2018/19: 53 2019/20: 60 2020/21: 59 2021: 52 (covid impact)
	% increase in students recruited to strand specific (STEM, Humanities, Languages, Business) pathways for via Postgraduate Applications Centre	General intake on the PME rather than discipline specific application and selection	Targeted recruitment of students under the newly developed strand system to ensure higher recruitment into each priority area	This had a positive impact on student numbers in the area of modern languages especially - almost 50% increase in student numbers here. Science and Physics in particular remain challenging.
	# international students for the Professional Masters in Education in priority areas.	No baseline	Target international students for the Professional Masters in Education to address priority areas.	This has not commenced. Some developments are at an advanced stage as part of NUI Galway's involvment in the ENLIGHT initative
	# new entrants onto the BA Education (Computer Science and Mathematical Studies).	No baseline	Meet teacher supply needs for the new Computer Science Leaving Certificate subject	Now in its third intake - recruitment is slow resulting in low numbers. From 2019 intake of 7, 7, 9. Attempts to boost recruitment have not as yet been impactful.

SP 1.3 Commentary Max. 1,400-character limit (200 words	Outcomes are mixed here. COVID has impacted progress on some of the outcomes and others are slower to see progress. The Computer Science concurrent teacher education programme is a concern. The School of Education continues to have significant engagement with PDST who support schools as they take on Computer Science. An academic member is the lead person on Computer Science nationally and continues to raise the profile of the course.	Colour rating for this Strategic Priority: Amber			
11pprox)	The School recognises the need to keep this work on-going to ensure a supply of teachers as the profile of the subject rises, but the cost of keeping it going in the School is a concern. The School will likely need some support to retain the Computer Science expert in order to keep the momentum and continue to play a leadership role for NUI Galway in the sector				
	MGO (Irish language PME porogramme) numbers are expected to return to 60 this year. Selecting students who have the required level of Irish to teach in Irish schools continues to be problematic. Applications to the MGO are circa 70p.a. but selection identifies no more than 30/34 suitable to progress to offer stage. Targeted promotion included direct visits to final and pre-final years students in UCD, UL, DCU.				
KSO 2:	Creating rich opportunities for national and international engagement which enhances the learning environment and delivers a strong bridge to enterprise and the wider community				
KSO 2 Summary	Strategic priorities under KSO2 include increasing the percentage of international students and internationalising the curriculum.				
Statement	Key actions planned or taken to-date in achieving these targets include:				
Max. 4,200-character limit (550-600 words	• The introduction of a budget devolution model to provide significant and clear budgetary incentives to Colleges and Schools to grow international (non-EU) student numbers and income (65% of incremental income returned to the Colleges on the basis of income growth) – in progress.				
11pprox)	• Substantial investment is being rolled out in the both the leadership and the breadth of services the International Office and the Colleges can deliver on. The new appointments include a Vice President International and a Director of Global Galway. In addition there is expansion of teams working in recruitment, mobility, partnerships and international student experience.				
	• Extensive curriculum development to establish new Postgraduate Masters programmes for the i	nternational market Particular			

	popular with the Indian market; a suite of new Masters of Engineering programmes, with specific strands for non-EU students; a suite of Business Masters programmes with an international orientation. The ENLIGHT European University initiative is designed to open up study opportunities for students and to deepen our links with European University Partners. The model includes engagement with regional partners such as county councils and business partners which are then linked up across the network providing strong bridges between and across communities.			
Strategic Priority 2.1	Key Performance Indicators	Pre-compact Baseline 2018	Overall Target 2018-2021	August 2021 KPI Value Max. 210-character limit (30 words 12pprox)
spread of our student population so that at least 25% of our full- time on-shore students (including Erasmus) come from outside of Ireland by end of AY 2020/21. Offer all students international engagement opportunities by	% of Full time Non-EU students	In 2017/18, 16.3% of all full- time students at NUIG were non-EU students	Full time Non-EU students to represent 20% of full-time students by end of AY2020/21	NON EU students represent 9.5% of total cohort, i.e. 1,518 NON EU students from a total of 15,926. This is a decrease on previous years (19/20 -14.9%, 18/19-17.3%) due to a decrease in JYA and impacts of Covid
	# of additional full time non- EU students	2,453 students	185 additional full time non-EU students above 2019/20 baseline (2775)	The decrease in NON EU students represents 852 students.
significantly expanding the proportion of our students who study or work abroad as part of their study, and incorporating international content into taught programme curricula	International students as % of total full time PhD students and % of full time international PhD students from non-EU countries	In 2017/18, 39% of full time PhD students were international students (domiciled outside of Republic of Ireland), of those, 68.4% were Non-EU students	International students make up 40% of total full time PhD students, at least 70% of whom are non-EU students	International Students as % of Full Time PhD Students 20/21 - 45.4% % Full time international PhD Students from Non EU countries: 20/21 - 74.3%

	% of graduates on undergraduate programmes to have undertaken a study or training period abroad	363 outbound study abroad visits in 2016/17 out of circa 3,600 graduates [i.e. circa 10%]	At least 15% of graduates on undergraduate programmes to have undertaken a study or training period abroad, by the end of AY20/21	Covid restrictions prevented all but exceptional travel in AY 20/21. Reporting AY 19/20 KPI:16%
	# of new 4-year degrees with period of study or work abroad	0	Total of 12 new 4-year degrees with period of study or work abroad introduced	12 new Arts, Business and Law programmes in place
SP 2.1 Commentary Max. 1,400-character limit (200 words 13pprox)	The Sub-level Strategic Initiatives target (15% by AY20/21) set for outbound mobility was achieved and marginally exceeded by AY19/20 (16%) and may have been increased further in AY20/21 if not for the impact of Covid on travel. Two new roles will become active in 2022 focused on further developing both physical and virtual mobility in terms of opportunity and funding. The fundamental idea behind our participation in ENLIGHT – our European University Network – is hinged upon the free flow of students and staff within a cross-disciplinary and transnational consortium. International Student Recruitment activities pivoted to virtual throughout the covid pandemic which presented opportunities to expand our geographic reach. In terms of a more sustainable approach, 4 in-market appointments are in progress, meaning that NUI Galway will have regional representation in Nigeria, India, China and Malaysia. These appointments will serve to strengthen engagement with these regions, and our commitment to enhancing the global perspectives of all students of NUI Galway. In terms of student recruitment achievements, appointments of this nature will enhance the diversification of the international student community.			Colour rating for this Strategic Priority: Amber
Strategic Priority 2.2	Key Performance Indicators	Overall Target 2018-2021	August 2021 KPI Value	
Innovation Impact –		Max. 210-character limit (30 words 13pprox)		
maximise the involvement of all academic staff, researchers and	% increase in innovation vouchers/ Project agreements with industry	12 innovation vouchers agreed in calendar year 2017	+ 25% on 2017 baseline (total of 15 agreements annually by 2021)	Target: 45 Total: 21 Innovation Vouchers

students in activities that generate useful new ideas and inventions, by developing new strategies, structures,	% increase in commercially relevant technologies	15 technology relevant licence agreements in calendar year 2017	18 commercial technology agreements signed (+ 20% on 2017 baseline)	Target: 54 Total: 46 LOA
strategies, structures, and partnerships to support innovators on campus and in the community to translate their inventions into societal impact.	# of new spin outs	2 spinouts in calendar year 2017	3 additional spin outs established on 2017 baseline	Target: 9 Total: 10 Spin Outs
SP 2.2 Commentary Max. 1,400-character	The Innovation Voucher (IV) tar reporting period. Remedial action targets for each college, holding collaboration day with SMEs.	Colour rating for this Strategic Priority: Amber		
limit (200 words 14pprox)	A significant proportion of NUI in 2020 due to Covid restriction LOA targets for 2019 and 2021			
	Despite a slow start with 1 spinout in 2019, the pipeline is strong especially in medical technologies and we exceeded targets with 4 in 2020 and 5 in 2022. Covid-19 did not impact our ability to conclude spinout arrangements as all our systems and document management processes were available in the cloud.			
	note: all metrics are calendar ye reporting requirements			
KSO 3:	Excellent research, development and innovation that has relevance, growing engagement with external impact for the economy and society and strengthens our standing to become an Innovation Leader in Eu			
KSO 3 Summary Statement			red in the University's Research & g the areas of research in which we	

Max. 4,200-character limit (550-600 words	potential in order to set us apart nationally and internationally. It builds on the values-based approach to the overall University strategy, principally:
15pprox)	Excellence – maintaining a focus on the excellence of our research, researchers and research outputs; with a renewed attention to key thematic areas
	Openness – through engagement with external partners; delivering impact for economy and society; and progressing the innovation agenda
	Sustainability – focusing our research expertise on the UN SDGs and the goals of the NDP and using the NDP and the SDGs as evaluative criteria for the impact of our research.
	Three themes structure our value-driven strategy: Purpose – maximising outcomes and impact through excellent research and innovation; People – a thriving research community reflecting the diversity and complexity of our society; and Place – a robust ecosystem, infrastructure and partnerships underpinning our global reputation while working in close partnership with regional and national stakeholders.
	In the reporting period the University has paid particular attention to the systems underpinning research success. Planning and budget systems have been reanimated, governance and management structures in our Institutes have been renewed, and processes in human resource management have been streamlined. Substantial work has been undertaken on creating structures for research careers and the pathways from university research to other career outcomes.
	The University has supported researchers in reorienting their work toward the renewed emphasis by funders and agencies on research challenges through the NUI Galway's Global Challenges programme. This investment in strategic funding supports multi- disciplinary teams seeking to address six identified challenges through an engaged, partnership-based approach. Our understanding of the role that the university plays in the national research system has been enhanced by our participation in infrastructure awards from SFI and the Shared Island initiative run by the HEA. Through ICHEC we are core partners in a European consortium in Quantum Computing, a national priority. Another significant development in this regard has been the foundation of the Clinical Research Development Office, which sharpens our governance in this important domain. Overall, through these activities, we have considerably enhanced our ability to manage research strategically.
	Building on the strong success of our research community in Horizon 2020 we have set a very ambitious target for European awards (Horizon Europe and other programmes) over the 2021-27 funding cycle. This focus on international funding is key to supporting the broader internationalisation efforts of the University under the Global Galway programme. Recent successes in the first round of Horizon Europe funding calls in 2021 include large-scale collaborative projects focused on health (anti-microbial resistance, novel

	malaria treatments) and sustainable agriculture in sub-Saharan Africa; and health innovation, through the European Innovation Council. The latter builds on our strong track record in innovation programmes such as the EI Commercialisation Fund and DTIF. Our national and international research success is grounded in significant regional partnerships. We fully support the seven strategic objectives of the Western Development Plan. All seven reflect research strengths of the university and we have made significant contributions to the operational plans in all these areas. We see our role as the Global University for the region, bringing research excellence to bear on the strategic goals and articulating the global significance of regional innovations.			
Strategic Priority 3.1	Key Performance Indicators	Pre-compact Baseline 2018	Overall Target 2018-2021	August 2021 KPI Value
Produce research that				Max. 210-character limit (30 words 16pprox)
is recognised as being excellent, transformative and relevant to societal and economic needs, while training the next generation of researchers.	# of new Research Leadership positions supported by philanthropy	First tranche of 6 philanthropy funded Research Leadership positions filled	Recruitment of 15 Research Leadership positions supported by philanthropy by AY 2020/21	Philanthrophy-funded Research Leadership scheme was paused in 2020-21, due to the development of a new Development Strategy. Philanthrophy-funded research positions included in new strategy for 2022-2027.
	# of applications for high- profile individual research awards	15 applications for high profile research awards annually	Increase in applications for high-profile individual research awards	2020/21: 74 applications submitted - 24 Pathways, 1 Career Fit, 4 FFP, 12 Wellcome, 4 Lister, 7 Royal Society UF, 22 ERC Average for 2018/19 to 2020/21 - 46 applications/annum
	# of ERC proposals submitted	Average of 10/annum ERC proposals submitted over 3 year period 2014-2017*	20/annum	2020/21 - 22 Proposals (15 Starter, 7 Consolidator)

		*Excludes Proof of Concept and Synergy Awards		Average for 2018/19 to 2020/21 - 18 proposals/annum
	% of research income from non-exchequer sources	Research income from non- exchequer sources has fluctuated between 27% and 31% over the last 4 years	Maintain the contribution to the University's research income from non-exchequer sources at or above 30%	2020/21 - 37% research income from non-exchequer sources Average for 2018/19 to 2020/21 - 32.3%
SP 3.1 Commentary Max. 1,400-character limit (200 words 17pprox)	in strategic research areas, and awards are key actions in addre profile awards and the resulting Laureate is helping to establish training the next generation of Synergy and PoC) - versus four u teams consisting of approximate Diversification of research fund societal and economic research industry, international funders a non-exchequer sources, in AY20 increase were significant resear	ugh targeted philanthropy-funded research leadership appointments		Colour rating for this Strategic Priority: Green
Strategic Priority 3.2	Key Performance Indicators	Pre-compact Baseline 2018	Overall Target 2018-2021	August 2021 KPI Value Max. 210-character limit (30 words approx.)

Increase the quality, impact and international awareness of our research outputs	% increase in # of peer reviewed publications by academic staff FTE # of peer reviewed publications per academic	1,667 publications or 2.41 publications/ academic FTE in December 2017	Increase by 2% annually the number of peer reviewed publications by academic staff fte 2.56 peer reviewed publications per academic by 2021	Dec 2021: 2484 as at Dec 2021, representing 3.35 per Academic Staff FTE (20/21)
	% increase in proportion of international collaborators % of collaborations which are international	54.4% of NUI Galway publications with international collaborators in 2017/18	Increase by 2% annually our percentage of international collaborators 57.7% of collaborations are international by 2021	International collaborators at 61.4% in Dec 2021, above target.
	% Increase in proportion of publications in the top 25% of journal % of publications in top 25% of journals	56.7% of publications in top 25% of journals in Dec 2017	Increase by 2% annually the proportion of publications in the top 25% of journals 60.2% of publications in top 25% of journals by 2021	58.2% of publications in the top 25% of journals as at Dec 2021.
	Field Weighted Citation Impact score	FWCI baseline for 2017/18 is 1.59; 2013-2017 5-year average FWCI is 1.67	Increase in Field Weighted Citation Impact to 1.70 by 2021	FWCI 1.74 as of Dec 2021
	# of applications for high profile appointments	No baseline	Increase applications for high profile appointments (e.g. SFI Research Professors and Future Leaders, IRC Laureate Awards) via targeted recruitment campaign for research leaders in thematic priority areas, and	Strategic research appointments on track 2020/21: 74 applications submitted - 24 Pathways, 1 Career Fit, 4 FFP, 12 Wellcome,

			dedicated support for applications provided by the Research Office	4 Lister, 7 Royal Society UF, 22 ERC Average for 2018/19-2020/21 - 46 applications
SP 3.2 Commentary Max. 1,400-character limit (200 words approx.)	% of international collaboration top journals and Field Weighted In the University's Strategic Plan the top 25% journals and plans a FWCI on the other hand is not w in the underlying volume and qu Also this metric is a non linear m some highly cited papers in any 1.62 over the period 2017-2021 mainly driven by a low FWCI of 2 2021 (1.74), indicating our effor	d our targets in terms of the volum . However we are slightly behind o l Citation Impact has not reached o a 2020-2025, we have set an overal are underway to achieve this going within the direct control of the Univ uality of our research can take time netric, which fluctuates depending given year. The overall 5-year tren below the starting average of 1.67 1.36 in 2019. However the trend has ts in the underlying quality of our p ving Horizon 2020 funding over the	ur target of % of publications in our target. Il target of 63% for publications in forward. versity, and hence improvements to translate into actual citations. on the success or otherwise of d did show a slight decline to vover the period 2013-2017, as improved in 2020 (1.59) and publications are paying off,	Colour rating for this Strategic Priority: Green
KSO 4:		Juality of opportunity through E ial mix of Ireland's population	Education and Training and rec	ruits a student body that
KSO 4 Summary Statement Max. 4,200-character	pathways for disadvantaged or of Access Programmes delivered in through the West/ North-West	levelopment of the wider commun under-represented groups to progr n the region through innovative alli Cluster; the Mature Student entry (E) and the Further Education and T	ress to full-time and part-time und ances with other educational instit route; the Higher Education Access	ergraduate study. These include: sutes such as those formed
limit (550-600 words approx.)	Each of these pathways represents the University's efforts to ensure that higher education is within reach for all members of society. In addition, NUI Galway, through the implementation of the PATH 2 and 3 programmes, the University of Sanctuary initiative and the Traveller Education project are finding new ways to help and develop our community and to enable an increasingly diverse student			

body enhance their educational future. We continue to remain committed to diversity and equality of opportunity, to educational disadvantage in our region and beyond, and to ensuring university education is for everyone. The implementation of the National Plan for Equity of Access to HE is a priority and we continue to be an integral par reform and landscape changes being progressed as part of the National Strategy for Higher Education to 2030, with o undertaken to further deliver on Goal 4 of this plan, namely: 'to build coherent pathways from further education and entry routes to higher education'. Collaboration with the further education sector has been of great importance in d goal and the specifc targets set out in the Compact.				eryone. b be an integral part of the overall tion to 2030, with considerable work ther education and to foster other
Strategic Priority 4.1	Key Performance Indicators	Pre-compact Baseline 2018	Overall Target 2018-2021	August 2021 KPI Value
Build on NUI Galway's				Max. 210-character limit (30 words approx.)
commitment to support a diverse student body and create a culture of inclusivity, by increasing to 24% the percentage of students from	% of new undergraduate entrants from traditionally under-represented groups	21%	24% by 2021	24.7% of new entrants from under-represented groups [total 3.7% increase from original baseline figure of 21% in 2018 and exceeds by 0.7% percentage point above overall target set for 2021]
traditionally under- represented groups entering undergraduate study	# of entry routes for underrepresented students	No baseline	30 students (15 per annum after establishment of the initiative in year 2018/19).	50 participants in 2018/19 who are Travellers/other ethnic minorities/lone parents 42 Participants - 2019/20
				54 Participants - 2020/21
				36 above overall target set for 2021
				[146 total from 2018 -2021]

	# of places available to FE students	number of places for FE students was 62 in 2017/18	190 by the end of AY 2020/21.	 228 places available for AY20/21. 38 places above 2020/21 Target 267% increase from baseline figure in 2017/18.
SP 4.1 Commentary Max. 1,400-character limit (200 words approx.)	 overall target of 24% set down if (186) HEAR entrants (188) DARE entrants (79) Mature entrants (67) through our pre-te (153) FE entrants (furth 17/18 to 153 in 20/21). 103 additional students 2) The significant increase in the students continues to be led by Group', which has worked close changes. This group includes Prorepresentatives from the Admise education and training sector metal sector meta	s for AY 2020/21 which has ensured n this compact and the number of rtiary programmes er education student intake has ris registered with Disability Support e number of places available for Fu NUI Galway's 'Further Education to ly with all four Colleges in the Univ ogramme Directors and the Deans sions Office, Access Centre and Stu- embers continue to be represente rell as members of the Galway and	students for 2021 include: en from 43 new entrants in Services in 2021 rther Education and Training o Higher Education Working versity to bring about these from each college, as well as ident Recruitment. Further d in this group by colleagues in	Colour rating for this Strategic Priority: Green
Strategic Priority 4.2	Key Performance Indicators	Pre-compact Baseline 2018	Overall Target 2018-2021	August 2021 KPI Value Max. 210-character limit (30 words approx.)

NUI Galway will improve completion rates for students from disadvantaged cohorts and implement new data initiatives and indicators to drive student success.	Non-completion Rate	11%	Reduced to 9%	Attained our target of 9%
SP 4.2 Commentary Max. 1,400-character limit (200 words approx.)	 Strategy and enabled a 'whole of strands of the Student Success's achievement of non-traditional National Forum's Guiding Frame success) and Student Success To working groups were established Strategy, policy and evide Communication, collabo Health, wellbeing, inclus Teaching, learning and pulse NUI Galway's development of a underpin improvements in learn disadvantaged backgrounds will Pathways Programme. The estadout strategy and evide 	dence pration, engagement and partnersh sivity, transitions and belonging professional development a data warehouse to support stude hing, retention and progression rat be strengthened and developed a blishment of a Widening Participat and Inclusion Campus Committee, f	Success Manager coordinates the ne transitions, progression and here is underpinned by the cess (the 12 enablers of student ontext and priorities. Four hip ent success strategies that ces for students from is part of the Student Digital cion Committee, as a pillar of the	Colour rating for this Strategic Priority: Green
KSO 5:		provement in the quality of the cus on quality & academic exce	learning environment with a c llence	lose eye to international best

KSO 5 Summary Statement Max. 4,200-character limit (550-600 words approx.)	Limitations in this area have been due to resource constraints (staffing and investment in teaching infrastructure), highlighted by review groups developing the new Academic Strategy for 2021-26. Nonetheless, significant work was undertaken during the COVID period and the dramatic pivot to online/hybrid teaching with large scale training and supports provided by the Centre for Excellence in Learning and Teaching and others, leveraging national (National Forum, EDTL) and international partnerships (Coimbra Group, Erasmus+ CUTE project). The University made significant investments in teaching and learning infrastructure and supports during the last 2 years of the Covid emergency, including the appointment of four Learning Technologists for the four Colleges to assist staff in the transition to remote teaching; investment in a wide range of online teaching and learning tools and platforms; and investment in technology infrastructure in classrooms to facilitate hybrid teaching. A new university-wide Teaching & Learning Committee was established, chaired by the Deputy President and Registrar and the Designing Futures project commenced, taking leadership in aspects of employability and graduate attributes. The need to increase numbers on formal CPD programmes has been recognised, with an additional teaching post allocated in AY21-22.			
Strategic Priority 5.1	Key Performance Indicators	Pre-compact Baseline 2018	Overall Target 2018-2021	August 2021 KPI Value Max. 210-character limit (30 words approx.)
teach and design our programmes are provided with professional training and development opportunities, to ensure that our research-led teaching continues to generate	# of professional development events (other than within programmes) offered and # of attendees at annual conference	No baseline	On-going implementation of Continuous Professional Development Framework for academic staff	Ongoing seminars, workshops, training events, weekly throughout academic year. During COVID, mass scale training for online teaching (eg 300 staff trained in first 2 weeks). Conference suspended during COVID.
a creative and stimulating environment, enriches the student experience and	# of academic staff promoted through excellence/ scholarship in teaching and learning routes	No baseline	No specific target set	A new academic promotion scheme (6 monthly), was introduced in February 2020. 2 applicants have been promoted to Personal Professor under the

produces graduates of the highest quality (aligned to Vision 2020 objective).	Capacity on existing professional development programmes for staff	No baseline	Expand capacity on existing professional development programmes for staff and routes identified for both early career and established academic staff.	Teaching & Learning Track in rounds 1-3 Numbers maintained, no expansion due to resource constraints and a shift in priorities to support remote learning. Additional resourcing now provided in early 2022 (outwith reporting period).
SP 5.1 Commentary Max. 1,400-character limit (200 words approx.)	programmes (PgCert/Dip/MA) of training for online teaching and Partnership in a European proje IUA's EDTL project augmented to online and on-campus, covering	povid emergency meant that plans to expand the formal professional development ammes (PgCert/Dip/MA) during this period had to be replaced by a huge increase in targeted be for online teaching and use of technologies including hybrid on-campus teaching. ership in a European project using the Digital Competence Framework for Educators, and the EDTL project augmented this work. Training took place every week, plus in intense workshops and on-campus, covering technology and pedagogy. The PgCert programme capacity will herease following additional resourcing in 2022.		
Strategic Priority 5.2	Key Performance Indicators	Pre-compact Baseline 2018	Overall Target 2018-2021	August 2021 KPI Value
Review, revise and				Max. 210-character limit (30 words approx.)
develop new curricula to ensure that our portfolio of programmes is	Roll out policy for digital teaching and learning	N/a	Roll out policy for digital teaching and learning	Superseded by response to COVID, with focus on guidelines, practical skills development, etcnow fully

innovative, responsive to local, national and international demands, attractive to students and relevant to the needs of the modern learner (aligned to Vision 2020 objectives).				incorporated into new Academic Strategy (21-26) and aligned with EU project and EDTL.
	% of Colleges where Graduate Attributes framework has been rolled out	N/a	Graduate Attributes framework embedded in all Colleges and used to inform curricular design, assessment, and course review.	Graduate Attributes adopted across institution. Localised versions for particular professions. Incoprorated into Designing Futures, and new Policy for Programme Development.
	% level of engagement with student feedback processes	N/a	Implementation of student feedback policy	Responsibility devolved to Schools. Review currently taking place for reporting and review by Teaching & Learning Committee & Quality Office. Compliance reported by all schools for Cinnte Review (2018/19).
SP 5.2 Commentary Max. 1,400-character limit (200 words approx.)	period, leading to changes in fo COVID lockdown and hybrid tea skills, highlighting key aspects o and a European project using D have re-designed strategy account	trategy, and the advent of Designing Futures, all arose during this cus and targets, with more specific actions identified. The impact of aching was dramatic, but also accelerated the development of digital of teaching and assessment methods. As a key partner in both EDTL igCompEdu, we exceded initial expectations prior to COVID and rdingly. Graduate Attributes and Student Feedback policy were d reported on during Cinnte review 2019.		Colour rating for this Strategic Priority: Green

KSO 6:	Demonstrates consistent improvement in governance, leadership and operational excellence.			
KSO 6 Summary Statement Max. 4,200-character limit (550-600 words approx.)	EDI Governance The NUI Galway Equality and Dir The Equality, Diversity and Inclu annually. There is now a full gov levels. Equality and Diversity (in agendas of Academic Council an Each college has appointed a Vic committee, Consent Framework Committee (EDICC), which repo St. Angela's Incorporation Following many years of collabor the University, as supported by integration objectives, including upgrades, corporate and legal a alternative incorporation into IT this IT Sligo-STACS incorporation the formal ATU establishment in NUI Galway is facilitating the ha	versity Campus Committee (EDICC) ision Committee of Údarás na hOlls vernance structure and processes for cluding gender equality) is a standi ad Údarás na hOllscoile since AY 20 ce-Dean for EDI. Vice-Deans work of complementation Working group, a rts to the University Management pration between NUI Galway and St HEA Landscape Funding – during w systems and services alignment, a ffairs, etc. – the incorporation proj "Sligo, in the context of the establi in was approved in principle by Min in April 2022. ndover of all relevant information) was established in November 201 scoile (EDIC) was established in Spr or EDI in place in NUI Galway from ing item on all College and School E 15/16. closely with the OVPEDI and sit on t and NUI Galway Equality, Diversity	6 and meets 4 times annually. ing 2017 and meets three times governing body to school/unit executive meetings and on the the institutional Athena SWAN and Inclusion Campus the incorporation of STACS into as made against a range of ion, curriculum management, AV o enable STACS to explore an ological University. In Nov 2021, re working to incorporate prior to o STACS/IT Sligo, including status
Strategic Priority 6.1	as smoothly as possible and in a spirit of positive engagement. Key Performance Indicators Pre-compact Baseline 2018 Overall Target 2018-2021 August 2021 KPI Value			
		•	,	Max. 210-character limit (30 words approx.)

NUI Galway will ensure equality of opportunity for all staff and students, with a particular focus on gender equality, as measured by our implementation of the 2018 Higher Education Gender Equality Task force Action Plan and the 2016 Expert Group Recommendations.	# of Athena Swan Bronze Awards at School Level (Focus on STEM Schools)	NUI Galway achieved the Athena SWAN Bronze award in April 2018. College of Engineering and Informatics applied for Athena Swan departmental award in April 2017; The School of Medicine applied for and achieved departmental accreditation in April 2018	Embed Athena Swan Principles in the culture of the University, with all 8 STEMM Schools making Bronze applications by end of AY 2020/21.	Institutional Bronze award renewed in 2021 under the expanded Charter. 8 Schools have attained bronze awards. The School of Engineering will submit for Silver in April 22.
	% of women at Senior Lecturers grades	40% of Senior Lecturers female	45% by end of AY 2020/2021	Achieved 47% women at Senior Lecturer grade by end AY 2022/21
	% of women at Professoriate grades	16% of Professoriate female	20-25% by end of AY 2020/21	Achieved 28% women at Personal Professor grade and overall increase to 24% in combined Professoriate by end AY 2022/21
	% of committees comprised of min. 40% men and women	 51% of committees comprised of min. 40% men and women 	100% of working groups and decision-making committees to have minimum membership of 40% women and men.	Annual audit of gender balance on committees conducted by OVPEDI. AY 2020/21 - 46% of all committees (n = 134) comprised min. 40% men and women. Derrogations agreed as appropriate.

	% of committees/working groups chaired by women	49% of committees/working groups chaired by women	50% of the Chairs of all influential committees and working groups should be women by the end of 2018	Consistently exceeds 40% female Chairs year on year with fluctuation between 42% - 49% - however natural turn-over of membership and chairs can make this difficult to control precisely.
	# of new institutional governance structures overseeing equality, diversity and inclusion in place	Equality, Diversity, and Inclusion Campus Committee was established in 2016 Equality, Diversity, and Inclusion Committee of Údarás na hOllscoile established in May 2017	By end of AY 2020/21, we will have completed the process of establishing equivalent structures for unit, support services, school and college levels.	Institutional EDI structures are firmly established in the University as described in summary statement for KSO 6.
	% Executive teams trained and # of participants in Unconscious Bias and Equality, Diversity and Inclusion training	No baseline	100% executive teams trained and a total of 1,000 staff trained by end of AY 2020/21	 > 1,000 staff trained in Unconscious Bias by end AY 2020/21 including members of executive teams.
	Gender proofing of selection and promotions procedures	No baseline	Gender proofing of selection and promotions procedures by end of AY 2020/21-	New academic promotions scheme (2019) externally reviewed by external expert from Oxford Brooks School of Law and Centre for Diversity Policy Research and Practice.
	% staff participating in Mentorship and Leadership Schemes to increase diversity	No baseline	20% of Lecturers Above the Bar and 25% of Senior Lecturers to have participated in a	Formal mentoring scheme launched in Nov 2021. Previously ad hoc approach.

	and inclusion in the leadership pipeline		mentorship or Leadership programme by the end of AY 2020/21.	Pilot for Preparing for Academic Advancement Scheme in 2021.
	% staff participation in Career Development Pathway Programme for Professional Service staff	No baseline	15% of professional staff have participated in a professional development/training opportunity by end of AY 2020/21.	Career Development Pathway Programme replaced by LIFT - online leadership development programme. Uptake: 55 Facilitators, 200 participant, 40 Round Table Groups. >40% staff engagement with LinkedIn Learning.
	# of women participating on Aurora Leadership programme	No baseline	Total 100 women to participate in this leadership development programme.	109 women have completed Aurora by end AY 2020/21. A further 19 commenced the programme in November 2021 and will complete by the end of AY2021/22.
SP 6.1 Commentary Max. 1,400-character limit (200 words	awareness of gender in our key (EIA) of all new and revised poli	, practices and mechanisms to ensidential decision-making processes includiction cies, recruitment monitoring and cact of this is visible in the gender cship roles.	ng Equality Impact Assessment complete reform of academic	Colour rating for this Strategic Priority: Green
approx.)	The gender profile of committee membership is audited and reported annually to UMT, Academic Council, EDICC and Governing Authority. WRT target for 100% gender balance on working groups and decision making committees, derrogations have been agreed with several Colleges/Schools (CMNHS, SoE, and SCS) where women/men are under-represented to address the issue of 'committee overload'. Targets have been agreed to ensure the gender balance of committees at least reflects the gender profile within the school.			

	By necessity opportunities for career and leadership development pivoted online during Covid-19 i.e. LIFT and LinkedIn Learning. New and additional collaborative programmes inc. Preparing for Academic Advancement were offered and supported 8 academic women from NUI Galway in 2021. A further 10 (all categories) are participating on sector Vista Mid Career Development programme.			
Strategic Priority 6.2 NUI Galway will	Key Performance Indicators	Pre-compact Baseline 2018	Overall Target 2018-2021	August 2021 KPI Value Max. 210-character limit (30 words approx.)
deliver consistent improvement in governance, leadership and operational excellence with regard to institutional governance and compliance with financial reporting regulations and will respond to the System objective of institutional consolidation by	Financial Governance targets	Annual funding statements required by the HEA were prioritised over C&AG audited GAAP AFS. This process was reversed, and the timelines shortened to comply with the directives from PAC.	Timely submission of annual financial statements	Y/e 30/09Submitted C&AG202103/12/2021202029/01/2021201919/12/2019201821/12/2018
	General Governance Targets	N/a	Timely submission of Annual Statement on Governance and Internal Control to HEA	Annual Governance Statement and Statement on System of Control submitted to HEA in line with HEA deadlines for all 3 years of the Compact.
integrating St Angela's College, Sligo into NUI Galway.	St Angela's Incorporation: Framework Services Agreement, and incorporation of St. Angela's College Sligo	Framework Services Agreement has been signed with St. Angela's College Sligo.	Framework Services Agreement, and ultimately the incorporation of St. Angela's College Sligo, leading to and delivering a sustainable campus and operational base in line with sector norms.	Framework Services Agreement complete; Incorporation incomplete. NUI Galway-STACS incorporation project now paused to pursue IT Sligo-STACS incorporation agreed in

				principle by Minister FHERIS. See note below.
	Student and staff integration	N/a	Students and staff of St Angela's College integrated as full NUI Galway students and staff by 2020/21.	STACS students accredited by NUIG, STACS students & staff integrated into NUIG email & library services, TUPE process well advanced; Full incorporation paused to pursue IT Sligo-STACS incorporation. See below.
	Alignment of Academic Administration	N/a	Statement of Work to review and align Academic Administration across both institutions	All STACS modules integrated into NUI Galway curriculum management system (Akari) awaiting legal incorporation, which was paused in Feb 21 to pursue IT Sligo-STACS incorporation. See below.
	Integration of School research strategies	N/a	Heads of School to incorporate into School research strategies, plans for the development of a research culture and research activity in Sligo, including recruitment of research active staff	Joint research strategies well advanced awaiting legal incorporation, which was paused in Feb 21 to pursue IT Sligo-STACS incorporation. See below.
	AV & VC facilities	N/a	Improved AV & VC facilities at 5 locations on each campus to allow for shared teaching and joint delivery across both schools on both campuses	Complete.

SP 6.2 Commentary Max. 1,400-character limit (200 words approx.)	In Feb 2021, the NUI Galway-STACS incorporation project was paused to enable STACS to explore an alternative incorporation into IT Sligo, in the context of the establishment of the new Atlantic Technological University. In Nov 2021, this IT Sligo-STACS incorporation was approved in principle by Minister Harris and both institutions are working to incorporate prior to the formal ATU establishment in April 2022. NUI Galway is facilitating the handover of all relevant information regarding our integration to-date to STACS/IT Sligo, including status updates on the outstanding integration activities. Institutions have agreed shared principles that will facilitate a smooth transition, in a spirit of positive engagement and collaboration.	Colour rating for this Strategic Priority: Amber
	In 2021, Mazars & BDO reviewed all HEA Landscape funding between 2017-19, including the NUI Galway-STACS incorporation project. The report concluded that Project Management of the NUI Galway-STACS incorporation was appropriate to the scale of the project and, in relation to its Financial Management, there were no specific financial management control issues identified.	
	The NUI Galway-STACS incorporation project dates back to 2014, with the signing of an MOU by both institutions. As we transition towards the IT Sligo-STACS incorporation, we acknowledge the significant work and progress made by many people in Galway and Sligo over seven years.	

Section D: Signature

Note: This section should be signed by the President or on behalf of the President.

Name of signatory	Prof. Ciarán Ó hÓgartaigh
Title	President
Signature	CONJA
Date	11 April 2022