# Strategic Dialogue Cycle 4 HEI Self Evaluation Report



# **Dublin City University**

2016 Progress Report

May 2017

# **Overview of DCU 2016 Compact Performance**

Regional Clusters	Participation, Equal Access, Life Long Learning	Excellent Teaching, learning and quality of student experience	High Quality, internationally competitive research and innovation	Engagement with Enterprise, the community and embedded knowledge exchange	Enhanced Internationalisation	Institutional Consolidation
Shared Academic Planning Process	Commitment to Access	APR and PPR roll-out	Matrix structure development	Innovation campus development	Non-EU student growth	DCU Incorporation Programme
Regional approach to ATP	Age Friendly Initiatives	Academic staff professional development	Research active, research quality metrics	Aggregate measure for KT activity	International strategic partnership growth	DCU-DkIT Graduate School
		Development of ePortfolios	Structured doctoral education	Community Knowledge Exchange projects	Outward study abroad development	
		21 <sup>st</sup> Century Digital Campus			International students taking DCU Connected programmes	

# 1. Regional Clusters

Regional Cluster- Object	ive 1		
Institution objective	Agree and implement processes to establish and support a sustainable and shared academic planning process to ensure coherent, co-ordinated and rational higher educational provision across the region.		
Performance Indicator	Implementation of an agreed Regional Cluster Plan for higher education provision. More specifically, we will monitor (a) the number of CAO entry routes by institution and (b) the number of, and enrolment on, joint academic programmes.		
Baseline	There is a complete absence of systematically compiled data and information at the regional cluster level		
Interim Target, end 2014	<ol> <li>Agree methodology for projections of regional demand</li> <li>Complete scoping study data collation and analysis</li> <li>Complete draft report on review of systems, protocols and procedures for joint academic programmes.</li> </ol>		
Progress against 2014 Target	<ol> <li>Methodology for projections of regional demand completed as part of the work of Enrolment Planning in MEND Cluster Working Group</li> <li>Scoping studies completed by Enrolment Planning in MEND Cluster Working</li> <li>Development of a comprehensive protocol for the initiation, approval, management and implementation of joint academic activities within the Midlands East, North Dublin (MEND) cluster</li> <li>Completion of a report, Student Data Exchange for Joint Academic Programmes, providing mapping of necessary fields in institutional student record systems to facilitate academic collaboration, and a road-map for further work to allow complete interoperability</li> </ol>		
Interim target, end 2015	Targets for 2015 are incorporated into the MEND Cluster Project Plan, 2016 (see below)		
Progress, 2015	See below		
Final Target, End 2016	This institution objective is reflected in the MEND Cluster Project Plan 2016 in Strand 3: Academic Planning.  The target output of this activity will be an academic plan for the cluster for full time undergraduate courses of level 6, 7 and 8, showing:  a) Existing enrolment  b) Planned intake  c) Planned entry routes  d) Projected demand  e) Projected changes in disciplinary mix.  As supplementary outputs, the cluster will produce:  i) An analysis of postgraduate enrolment across the cluster.  ii) An analysis of available information on future skills needs in the cluster catchment area.  iii) A mapping of transfer and progression options within the cluster		
Progress, 2016	Enrolments and Academic Planning Report Completed in September 2016, including an analysis of postgraduate enrolment, and assessment of future demand across ISCED fields, and an identification of issues to be addressed a HEI and cluster level		

The MEND Enrolment and Academic Planning paper reported on work to develop a medium term academic plan for the cluster. It included a high level review of the profile of current enrolments with particular attention to annual intake, total enrolments, the overall scope of provision according to the NFQ levels of awards, ISCED fields, evidence regarding demand via CAO first preferences, and also the geographical sources of students enrolled in each institution. It further examined planned intake numbers for each MEND institution up to 2018, with an analysis by programmes organised by ISCED fields and assessed the implications of the national projections for full-time enrolments over the medium to longer term. Recent trends in postgraduate enrolments among the cluster institutions and more widely in the higher education system were reviewed as a prelude to setting out institutional plans for enrolment of taught and research postgraduates. The final part of the report presents the main conclusions from the analysis and identifies some key issues that need to be addressed by the partner institutions individually and collectively.

Institution objective	Develop a regional approach to access, transfer and progression across the region.		
Performance Indicator	Develop a regional approach to access, transfer and progression across the region.		
Baseline	There is a complete absence of systematically compiled data and information at the regional cluster level.		
Interim Target, end 2014	Complete inventory of current options and mechanisms for ATP into or between cluster institutions and from FE to HE at programme and institutional level.		
Progress against 2014 Target	A prototype portal has been developed to assist students navigate through the extensive range of FE and HE programmes that are available, identify the locations at which courses / programmes are provided, and provide information on pathways between FE and HE provision. The prototype is a model that could be rolled out across the entire HE and FE sectors.  The prototype portal can be accessed athttp://pathways.maynoothuniversity.ie/		
Interim target, end 2015	Targets for 2015 are incorporated into the MEND Cluster Project Plan, 2016 (see below)		
Progress against 2015 target,	See below		
Final Target, End 2016	<ul> <li>Target outputs resulting from these activities will be:</li> <li>a) A working paper on access, transfer and progression (ATP) which is intended to be the first in a series produced by the Network, its dissemination within the Network and beyond the Network by means of its presentation at a number of conferences</li> <li>b) A Network regional conference which will be held in November 2016</li> <li>c) Collation and dissemination within the Network of regional data relating to formal and informal links between relevant bodies.</li> <li>d) CPD delivery across the four HE institutions targeted in specific areas, including but not necessarily restricted to STEM.</li> </ul>		
Progress against 2016 target	Development of a scoping paper by FET2HE Network examining, inter alia,  • FE and HE provision in the region and pathways from FE to HE  • Schemes such as the Higher Education Links Scheme(HELS)  • Transitions from training to HE, and inconsistencies in entry mechanisms to FE and HE  FET2HE Conference 'Supporting Progression from FET to HE – Making it Happen', was hosted at DCU by the FET2HE Network on 21st of October 2016		

#### **Regional Cluster - Objective 2: Additional Comments**

In 2016, the FET2HE Network developed a scoping paper which, inter alia, examined FE and HE provision in the region and pathways from FE to HE, schemes such as the Higher Education Links Scheme (HELS), transitions from training to HE, and inconsistencies in entry mechanisms to FE and HE. This was followed by the FET2HE Conference 'Supporting Progression from FET to HE – Making it Happen', which was hosted at DCU in October 2016. Its primary purpose was to examine how best to support progression between FET and HE and identify the next steps required to increase progression. In turn, the feedback from the conference breakout sessions and the following panel discussions were summarised and disseminated to all conference participants in January 2017.

# Participation, equal access and lifelong Learning

Participation, equal ac	cess and lifelong Learning - Objective 1
Institution objective	Maintain our commitment to widening access to education through Ireland's most comprehensive Student Access Programme
Performance Indicator	% first year undergraduate entrants entering degree programmes through HEAR and DCU Access routes
Baseline	6.8% in 2010/11
Interim Target,	8% of DCU entering first year students
end 2014	
Progress against 2014	10.1% of DCU entering first year students entered degree programmes through HEAR and DCU Access routes
Target	
Interim target,	Original Target: 9% of entering first year students
end 2015	Revised Stretch Target: 12% of entering first year students
Progress against 2015	12.6%
target, commentary	
and data source	
Final Target, End 2016	Original Target: 10% of entering first year students
	Revised Stretch Target: 12% of entering first year students
Progress against 2016	11.5%
target	(See Appendix 1 for calculation)

#### Participation, equal access and lifelong Learning - Objective 1: Additional Comments

DCU's has a long and successful tradition in its commitment to addressing under-representation among economically-disadvantaged students. Currently over 1200 undergraduate students at DCU are completing their studies, with the support of the DCU Access Service. The number of undergraduate students entering DCU annually through the national HEAR and DCU Access programmes has grown significantly since 2009/10, as outlined in Figure 1 below.

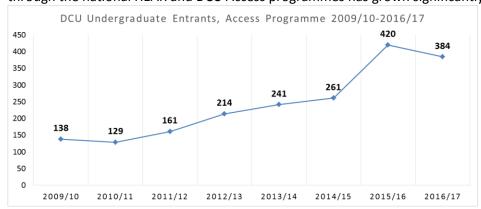


Figure 1: Entering Undergraduate Students, through DCU Access Service, 2009/10-2016/17

DCU's commitment to addressing the under-representation of students from economically disadvantaged backgrounds is notable within the university sector in two aspects of our approach. Firstly, in the scale of students entering DCU via Access programmes as the largest with the sector in terms of both offers and acceptances. Further, two-thirds of our Access students will join DCU at below-merit entry tariffs compared to a sectoral average of 29% for below-merit acceptances.

Participation, equal a	ccess and lifelong Learning - Objective 2		
Institution objective	Deepen our engagement with students of all ages through initiatives such as the Age-Friendly University		
Performance Indicator	Development of a range of educational initiatives, both online and face-to-face, for older adults		
Baseline	No current systematic mapping has taken place		
Interim Target, end 2014	<ol> <li>Completion of an Age-Friendly Strategy for DCU</li> <li>Carry out baseline mapping of ageing research and associated taught modules across DCU and partner institutions</li> </ol>		
Progress against 2014 Target	<ol> <li>Age-Friendly Strategic Framework completed.</li> <li>Creation of Health Technology and the health and ageing society Research and Enterprise Hub and associated mapping complete. (More information on DCU Research and Enterprise Hubs in figure 4.1)</li> </ol>		
Interim target, end 2015	<ol> <li>Identify and engage partner universities (national and international) seeking to adopt the ten principles of an Age-Friendly University</li> <li>Development and launch of modules targeting older adults using appropriate pedagogies including flexible delivery and online provision</li> </ol>		
Progress against 2015 target, commentary and data source	The following Higher Education Institutions have joined the network of Age-Friendly Universities initiative, led by DCU:  1. Lassell College, MA (USA)  2. NUI, Galway (Ireland)  3. University of Manitoba (Canada)  4. Leeds Beckett University (UK)  21 modules are now available for older adults to register as part of the Age Friendly initiative at DCU. Information on available modules are provided in Appendix 2		
Final Target, End 2016	200 older adults registered for on-line or face-to-face DCU programmes		
Progress against 2016 target	Currently 240 adults, aged 55 or over registered for programme leading to an award at DCU		

### Participation, equal access and lifelong Learning - Objective 2: Additional Comments

During 2016 and 2017, DCU has continued its national and international leadership in the Age-Friendly Universities initiative. DCU has extended the global network of Age Friendly Universities in 2016 and 2017 to include, University of Pai Chai, South Korea, University of Massachusetts, Boston, Florida State University, Central Connecticut State University, and Trinity College, Dublin.

During 2016, DCU also extended its work with international partnerships and advocacy organisations in the area of healthy aging, including the European Innovation Partnership in Active and Healthy Ageing, AFE-Innovent, which supports the scaling-up of innovation solutions for age-friendly environments to support active and healthy aging.

# **Excellent teaching and learning and quality of the student experience**

Excellent teaching and	d learning and quality of the student experience - Objective 1
Institution objective	Embrace policies that support Quality Improvement and Quality Promotion in all our operations. (OE8) particularly in relation to its impact on the enhancement the quality of the curriculum through the Annual Programme Review (APR) and Periodic Programme Review (PPR) processes
Performance Indicator	Reports of APR and PPR processes
Baseline	All programmes undertaking APR; 8% of programmes have completed PPR
Interim Target, end 2014	All programmes undertaking APR; 20% of programmes will have completed PPR
Progress against 2014	Interim target complete.
Target	a) All taught programmes at DCU currently undergoing annual programme review
	b) By December 2014, 31 of DCU's 154 programme streams (20%) had conducted periodic programme review (PPR).
Interim target, end 2015	All programmes undertaking APR; 50% of programmes will have completed PPR
Progress against 2015	All Programmes undertaking APR; 67% of programmes will have completed PPR
target, commentary	Details of all programmes having undergone PPR since 2013 are details in Appendix 3
and data source	
Final Target, End 2016	All programmes undertaking APR; 80% of programmes will have completed PPR
Progress against 2016	All Programmes Undertaking APR; 80% of programmes have completed PPR between 2013/14 and December 2016*
target	*Note- Percentage of programmes does not include new DCU programmes as a result of the Incorporation Programme. APR and PPR for
	new Post-Incorporation programmes will commence in 2016/17

The Annual Programme Review (APR) process for all taught programmes in now fully embedded within DCU. In addition, Periodic Programme Review (PPR) is conducted on a 5-year rolling schedule, allowing DCU to meet both internal and external quality assurance requirements in an embedded procedure which is sufficiently robust to withstand external review. Compliance with, and progress on the completion of Periodic Programme reviews are reported by Associate Deans for Teaching and Learning at DCU's Education Committee Reports on progress are noted in the Education Committee minutes of the 28th September 2016. In 2015/16, the Associate Deans for Teaching and Learning undertook a review of the effectiveness of the Annual Programme Review process, and developed a revised reporting template for Annual Programme Review.

Excellent teaching and	d learning and quality of the student experience - Objective 2
Institution objective	Support our academic faculty in their professional development, including both teaching and research, through the provision of on-going support for learning innovation and the development of teaching skills as well as providing a broad range of research support services
Performance Indicator	Proportion of staff undertaking academic professional development (measured by accredited programmes and training through on-going workshops and clinics)
Baseline	Baseline to be established in terms of (a) proportion of academic staff who have undertaken accredited programmes in pedagogy and (b) number of training days per staff member per year
Interim Target, end 2014	20% over baseline
Progress against 2014 Target	<ul> <li>a) 24% annual increase in the number of academic staff who have completed credit accruing programmes in pedagogy in the last 4 years.</li> <li>b) 27% annual increase in the number of DCU "training days" specifically targeting professional development for academic staff</li> </ul>
Interim target, end 2015	30% over baseline
Progress against 2015 target, commentary and data source	67% over baseline
Final Target, End 2016	50% over baseline
Progress against 2016 target	63% increase over baseline in the number of academic staff who have completed credit accruing programmes in pedagogy 75% increase over baseline in the number of DCU 'training days' specifically targeting professional development for academic staff

#### **Excellent teaching and learning and quality of the student experience - Objective 2: Additional Comments**

A range of accredited and unaccredited staff development opportunities are offered by a number of DCU units, in addition to externally delivered programmes. In the current iteration of these performance indicators, we have focused on development opportunities delivered by internally DCU. In 2016, 34 academic staff at DCU completed accredited modules in pedagogy, specifically 'Postgraduate Tutoring Principles and Practice', 'Teaching Online', and 'Assessment & Feedback in Online Environment'. In total, 300 staff have completed these modules since 2011.

The DCU Training and Development and the Teaching Enhancement Unit continue to deliver a broad range of unaccredited professional development programmes to academic staff, totalling an equivalent of 423 training 'days' in 2016. A summary of workshops and development sessions delivered by DCU Training and Development Area is included in Appendix 4 of this report.

Institution objective	Support the learning and personal development of our students by mainstreaming and further developing our Graduate Attributes initiative (Generation 21) and introducing personal archives (e-Portfolios) for every student to record and reflect on the aptitudes developed by them	
Performance Indicator	Student engagement with developing graduate attributes facilitated through e-portfolios among 1st year undergraduate students	
Baseline	No systematic use of e-portfolios in 2012/13	
Interim Target, end 2014	25% of first year entrants using e-portfolios	
Progress against 2014	DCU ePortfolios developed provided to all DCU students in December 2014.	
Target	Implementation of plan to embed ePortfolios in DCU curricula and professional development initiatives	
Interim target,	Original Target: 50% of first year entrants using e-portfolios	
end 2015	Revised Target: Pilot the Embedding and measurement of DCU Graduate Attributes within an Assurance of Learning Framework	
Progress against 2015	Successful roll-out of project to embed DCU Graduate Attributes within the learning outcomes of DCU Business School modules across all	
target, commentary	taught programmes. Measurement of attainment and management reporting of attainment of learning goals monitored and managed	
and data source	within an Assurance of Learning Framework.	
Final Target, End 2016	Roll-out of AOL aligned to DCU Graduate Attributes to another DCU Faculty	
Progress against 2016	Over 5,000 Student accounts on 'Reflect' Learning portfolio	
target	17 implementation projects currently underway across four faculties	

#### **Excellent teaching and learning and quality of the student experience - Objective 3: Additional Comments**

In September 2016, DCU launched a replacement e-portfolio platform due to the discontinuation of technical support for our previous system. In other words, the need to replace the previous platform was beyond DCU's control. Since the launch of the new platform, known internally as Loop Reflect, using Mahara, DCU now has over 5000 student accounts. Usage data indicates that our new e-portfolio system is being regularly accessed by students, as evidenced by 922 portfolios being active in the week of April 17<sup>th</sup> 2017.

In terms of university-wide e-portfolio activity, there are 17 separate implementation projects underway across DCU involving five faculties.

In addition, DCU is part of an externally funded UK project (€20K) to develop more sophisticated analytics and reporting functionality within the Mahara platform. This new functionality will become part of the core Mahara code.

Institution objective	Develop a '21st Century Digital Campus' which, coupled with the outputs of our Institute for Digital Learning, will support pioneering, technology-enhanced learning and revolutionise the learning experience both for Campus-based Education and Distance Education
Performance Indicator	Strategic Milestone delivered,
	% staff development in digital learning pedagogy,
	% of programmes with an online or blended element
Baseline	~100 staff have completed development programme in digital learning pedagogy
	8% of programmes with an online or blended element
Interim Target, end 2014	Complete rebranding of Oscail (National Distance Education platform located at DCU) as eDCU, and Digital Learning Institute fully operational
	• ~150 academic staff completed training in digital learning pedagogy
Progress against 2014	Rebranding of Oscail complete. DCU Connected launched in August 2014
Target	• 200 academic staff have completed digital learning pedagogy in one or both of two modules- Online Learning or Assessment & Feedback in Online Environment
Interim target, end 2015	30% over baseline
Progress against 2015	Activity Levels on growth achieved in relation to overall activity on the DCU VLE; further strong growth measured in activity on rich and
target	interactive learning supports on the VLE, including quizzes, interactive lessons, and completion of assignments using the VLE.
Final Target, End 2016	50% over baseline
Progress against 2016	• Since the establishment of the NIDL in November 2013 to the end of 2016, over 340 research outputs has been produced by DCU
target	staff with a focus on digital learning.
	Target Achieved- continued significant growth in in rich and interactive learning supports on VLE

#### **Excellent teaching and learning and quality of the student experience - Objective 4: Additional Comments**

As a result of capacity building and professional development in digital pedagogy, DCU's application of digital learning techniques and supports to support student learning continues to grow. In 2016/17, the vast majority of undergraduate modules, thus almost all undergraduate and most postgraduate programmes, involve a blended learning element. Blended learning is essentially the 'new normal' at DCU. Evidence of Growth in relation to student engagement with our VLE, DCU Loop includes:

- In 2016, 7% increase on 2015 in VLE sessions (total of 3,510,831)
- In 2016, 45% increase in number of glossary and also choice activities within the VLE (this figure builds on a 27% increase in these activities in 2015)
- In 2016, 61% increase in assignments that are managed through the VLE (this figure builds on a 37% increase in 2015)
- In 2016, 46% increase in number of quizzes available in the VLE (this figure builds on a 96% increase in number in 2015)
- in 2016, 56% increase in number "lessons" created in the VLE (this figure builds on 202% increase in 2015)
- In 2016, 3699 hours of live virtual classrooms hosted in Adobe Connect.

# High quality, internationally competitive research and innovation

High quality, interna	ationally competitive research and innovation- Objective 1	
Institution objective	Align our research agenda priorities with societal and technological challenges, as articulated in National Research Priorities, Horizon 2020 and UN Millennium Development Goals.	
Performance Indicator	Funding applications submitted via the four Research and Enterprise Hubs (aligned with Research Priorities)	
Baseline	Zero in 2013	
Interim Target, end 2014	Matrix structure with Hubs and Platforms fully operational	
Progress against 2014 Target	DCU Research and Enterprise hubs fully operational at end December 2014.	
Interim target, end 2015	At least one major (>€1m) research application from each of the four Hubs	
Progress against	Target Achieved.	
2015 target,	Examples of 4 >€1m research applications (one from each of the Research Hubs) in 2015 are contained in the commentary below.	
commentary and		
data source		
Final Target, End 2016	At least three major (>€1m) research applications from each of the four Hubs	
Progress against	Target Achieved- examples of 3 >€1m research applications from each of the Hubs provided in the appendices	
2016 target		

# High quality, internationally competitive research and innovation- Objective 1: Additional Comments

DCU's Research and Innovation strategy outlines a 5 year plan to provide the foundation for DCU to achieve its overall vision as a research-intensive university of enterprise with a focus on translating knowledge into benefits. To deliver on these goals, four Research and Enterprise Hubs were envisaged, with the aim of building critical mass in priority areas and to seek new complementarities and synergies between researchers in DCU. In addition three cross-cutting platforms were also creating to provide additional expertise and support.

In 2016, The DCU Research and Enterprise Hubs supported a total of 22 research applications, each with a value of over €1million across the four Hubs. These included 7 applications under EU Horizon2020 initiatives, and 11 through SFI funded streams.

Institution objective	Deliver on ambitious goals for all academic units in order to reinforce our reputation as a research-intensive university.
Performance Indicator	% of research-active staff (with research outputs of international quality and supervising research students); % of research outputs in top quartile of outlets for the discipline
Baseline	50% of staff research-active in 2012 41% of outputs in top quartile in 2012
Interim Target, end 2014	55% of staff research-active 50% of outputs in top quartile
Progress against 2014 Target	62% research-active 45.4% research outputs in top quartile outlets
Interim target, end 2015	60% of staff research-active 55% of outputs in top quartile
Progress against 2015 target	65% staff research-active 56% of outputs in top quartile
Final Target, End 2016	65% of staff research-active 60% of outputs in top quartile
Progress against 2016 target	62% of staff research active 56% of outputs in the top quartile

# High quality, internationally competitive research and innovation- Objective 2: Additional Comments

Since 2016, DCU has changed its source of information on top-quartile research outputs, and is now using the SciVal tool associated with the Scopus database. While we are mindful that this does not provide a complete picture of our overall research outputs, it provides a useful basis for national and international benchmarking of research output. DCU therefore note that the performance reported in 2016 is not comparable with that of previous years. Furthermore, the target established in 2013 related to targets established using a different external data source (Scimago).

DCU has also made an effort to calculate the % of research active staff based on pre-Incorporation structures, and where possible, is reflective of a pre-Incorporation DCU. DCU recognises that the Incorporation process has significantly changed the research profile and subject disciplinary mix of the university and in this context has developed plans to continue to support staff across the university with respect to research activity and the quality of research outputs.

Institution objective	Enrich the educational offerings for our postgraduate research students by expanding the provision of Structured PhD programmes
Performance Indicator	% of PhD students undertaking taught modules during their PhD programme
Baseline	Baseline will based on % of 2013 graduates who have completed modules as part of their PhD programme
Interim Target, end 2014	Baseline + 5% increase
Progress against 2014 Target	25% annual increase in the number of PhD graduates who have completed at least 30 taught credits as part of their PhD programme.
Interim target, end 2015	Baseline + 10% increase
Progress against 2015 target	14% increase over baseline in the absolute number of PhD graduates having completed 20 taught credits of more as part of their PhD programme. Moreover, an increase in the % of PhD graduates having completed 20 credit threshold for structured PhDs to 50% Table in Appendix 5 shows summary information
Final Target, End 2016	Baseline + 20% increase
Progress against 2016 target	43% increase over baseline in the absolute number of PhD graduates having completed at least 20 taught credits as part of their Ph programme. This represented 68% of all PhD graduates in 2016.

#### High quality, internationally competitive research and innovation- Objective 3: Additional Comments

DCU has made significant progress in developing a suite of initiatives to enhance postgraduate research student education. In addition to supporting best-practice in the development of new structured pathways within disciplines, developing a suite of cross-cutting graduate modules and launching ENRICH (a framework focused on developing a researcher skillset for enterprise and entrepreneurship) DCU has placed a significant focus on growing student engagement in such programmes.

# 3. Enhanced engagement with enterprise and the community and embedded knowledge exchange

Enhanced engagem	nent with enterprise and the community and embedded knowledge exchange- Objective 1
Institution objective	Develop our new Innovation Campus which will drive a step change in innovation, knowledge exchange, engagement with enterprise, and regional economic impact.
Performance Indicator	Number of companies located on the Innovation Campus; number of employees on the Innovation Campus
Baseline	One company in 2013; 50 staff
Interim Target, end 2014	4 companies; 75 staff
Progress against 2014 Target	20 Companies; 210 employees
Interim target, end 2015	Original Target: 20 companies, 210 staff;  Revised Stretch Target: 30 companies, 300 staff
Progress against 2015 target,	31 Companies, 315 staff
commentary and data source	
Final Target, End 2016	Revised Stretch Target: 35 companies; 350 staff + 2 active research collaborations between Alpha and DCU academics
Progress against	45 companies and 450 staff by December 2016
2016 target	Currently 11 DCU Alpha companies working with DCU on enterprise engagement and research projects

# Enhanced engagement with enterprise and the community and embedded knowledge exchange: Objective 1: Additional Comments

The DCU Alpha has continued to grow the number of companies and associated employees at the innovation campus. In 2016, DCU Alpha also continued to play a leading role in hosting and supporting *Hackathon* events, bringing together multi-disciplinary, multi-sector teams to work on innovative solutions to real-world problems. 2016 Hackathon events supported by DCU Alpha include, the first Massachusetts Institute of Technology (MIT) Hacking Medicine Event, and the Big Energy Hack in partnership with ESB and Dogpatch Labs.

Several DCU Alpha companies are also continuing to work with DCU on enterprise engagement and research projects. These include, Shimmer, Firmwave, Enbio, Siemens, Fire1, M2C Smartcharge, NuWave, Ambisense, Touchtech, Robotify, and Exergyn.

Enhanced engageme	ent with enterprise and the community and embedded knowledge exchange- Objective 2
Institution objective	Secure our position as Ireland's leading innovative university by doubling our knowledge transfer activities with enterprise and wider society via contract research, collaborative research, consultancy, and licensing activities.
Performance Indicator	Aggregate measure of knowledge transfer activities
Baseline	Leading Irish university for executed licences for last 4 years
Interim Target, end 2014	Establish robust aggregate measure for knowledge transfer activities; Roll-out of enterprise consultancy scheme
Progress against 2014 Target	Composite metric of Innovation Output developed. Enterprise Consultancy Scheme Delivered
Interim target, end 2015	50% increase from 2012 baseline (using aggregate measure)
Progress against 2015 target, commentary and data source	49.4% increase in activity from 2012 baseline based on aggregate measure
Final Target, End 2016	75% increase from 2012 baseline (using aggregate measure)
Progress against 2016 target	75.9% increase from 2012 baseline achieved (using aggregate measure)

#### Enhanced engagement with enterprise and the community and embedded knowledge exchange- Objective 2: Additional Comments

Considerable progress has been made in relation to the identification and development of an aggregate performance measure for knowledge transfer activities. In designing and developing the aggregate model, DCU focused on developing a metric that,

- Captures a broad range of knowledge-transfer outputs by a university
- Provides an opportunity to weight individual factors within the model based on their relative importance to the DCU strategic plan and national knowledge transfer priorities
- Where possible, uses data that is publicly available and comparable, to facilitate benchmarking or the measure, or elements of it.

Figure 2 summarises the DCU innovation index performance 2012-2016.

	Strategic										
Engagement Indicators	Weighting	2012	2013	2014	2015	2016	Weighted 2012	Weighted 2013	Weighted 2014	Weighted 2015	Weighted 2016
Spin-Outs	10	1	7	3	4	1	10	70	30	40	10
Licence, Option, Assignment	9	18	24	25	25	31	162	216	225	225	279
Patent Filings	6	7	11	13	15	14	42	66	78	90	84
Invention Disclosure Forms	2	21	37	33	35	34	42	74	66	70	68
Non Disclosure Agreement	2										
Contract Research >€50K	8										
Co-Sponsored Research (total value >€50k)	8	9	12	23	14	26	72	96	184	112	208
Facility Access Agreements >€50K	8										
Contract Research <€50K	6										
Facility Access Agreements <€50K	6										
Industry Sponsored PhDs/P.Docs	5										
Co-Sponsored Research (total value <€50k >€10K)	5	19	19	15	19	19	95	95	75	95	95
Consultancy Agreement	4										
Total		75	110	112	112	125	423	617	658	632	744
Performance, Indexed to 2012 Baseline							100.0	145.9	155.6	149.4	175.9

Figure 2: DCU Performance in Aggregate Innovation Measure, 2012-2016

Knowledge Transfer	Co-publications with industrial partners	Patents awarded absolute	Patents awarded normalised	Industry co-	Spin-offs	Publications cited in patents
	·			•	•	•
Dublin City University	3.8%	37	3.2	5.4%	8.12	1.9%
University College Dublin	4.1%	34	1.59	20.6%		1.3%
Trinity College Dubin	5.2%	37	2.67	8.1%		1.5%
University of Limrick	4.2%	24	2.12	25.0%	4	1.1%
Maynooth University	5.1%	10	1.07	20.0%	2.83	0.8%
Unverisity College Cork	5.2%	44	2.19	6.8%	3.79	1.5%
NUI, Galway	4.3%	35	2.31	20.0%	1.93	1.1%

Figure 3: Benchmark of innovation performance relative to other Irish Universities, uMultirank, 2016

DCU continues to perform strongly in relation to knowledge transfer, spin-off support and industry collaboration relative to peer institutions both nationally and internationally.

In the most recent 2016 uMultirank profile of Irish universities knowledge transfer activity, reports DCU to have the highest size normalised rate of patent creation, and spin-off creation among Irish universities.

Institution objective	Develop DCU Community Knowledge Exchange to be the leading Irish example of direct link between HEI research and community-based, active engagement
Performance Indicator	Number of community-based research projects; social valuation metrics of public value
Baseline	2 community-based research projects completed since launch December 2012; 2 DCU Schools participating, two community partners
Interim Target, end 2014	4 projects completed; ~ 4 DCU Schools participating, 4 community partners
Progress against 2014 Target	6 research projects; 2 Schools participating; 6 community partners
Interim target, end 2015	7 projects completed; ~7 DCU Schools participating, 7 community partners
Progress against 2015 target, commentary and data source	7 projects completed, 5 School participating, 7 Community partners
Final Target, End 2016	12 projects completed; ~12 DCU Schools or research centres participating, including Innovation Campus; 12 community partners
Progress against 2016 target	20 projects completed; >10 DCU Schools or research centres supervising, including Innovation Campus; 20 community partners; development and implementation of social valuation metrics tied to DCU Strategic Plan for social enterprise

Enhanced engagement with enterprise and the community and embedded knowledge exchange: Objective 3: Additional Comments

#### 4. Enhanced internationalisation

Enhanced internation	onalisation - Objective 1
Institution objective	Building on high levels of EU student enrolment, we aim to double the number of non-EU students over the next five years.
Performance	Total number of full time non EU domiciled students registered at DCU
Indicator	
Baseline	~500 students in 2011/12
Interim Target, end 2014	600
Progress against 2014 Target	661 Non-EU domiciled Students registered on 1 <sup>st</sup> November, 2014.
Interim target, end 2015	700
Progress against 2015 target, commentary and data source	785 non-EU domiciled students registered on 1 <sup>st</sup> November, 2015
Final Target, End 2016	800
Progress against 2015 target	1,016 non-EU domicile students registered on 1 <sup>st</sup> November, 2016

#### **Enhanced internationalisation - Objective 1: Additional Comments**

DCU's strategic plan, Transforming Lives and Societies, outlined ambitious plans for DCU to double the number of non-EU students at DCU. Since this time, the university has developed a number of initiatives to explore and develop both traditional and more innovative ways to increase international student numbers at DCU. In line with our Compact target, in November 2016, there were over 1,000 students of Non-EU domicile at DCU. In keeping with best international practice these comprise Non-EU students completing their DCU programmes on campus, through blended / e-learning modes of study, and in our inaugural transnational education (TNE) initiative where currently almost 400 students at PNU, study on programmes designed, delivered and accredited by DCU.

Enhanced intern	ationalisation - Objective 2
Institution objective	Establish a small, dynamic, global network of partner universities in regions prioritised in DCU's Internationalisation Strategy. This network will include partners in Europe, India, China, North America, the Middle East and Sub-Saharan Africa
Performance Indicator	Active Partnership = [MOU; ≥1 Collaborative Research Project; Mutual Access to online modules; active student & staff exchange; student online collaboration programme]
Baseline	Zero active partnerships in 2012 (as defined above)
Interim Target, end 2014	1 active partnership
Progress against 2014 Target	DCU has established a multi-faceted Transatlantic partnership with Arizona State University
Interim target, end 2015	2 active partnerships
Progress against 2015 target,	1 active partnership
commentary and data source	
Final Target, End 2016	2 active partnerships
Progress against 2016 target	1 active partnership- with almost all element of 2 <sup>nd</sup> partnership in place

#### **Enhanced internationalisation - Objective 2: Additional Comments**

As noted in our 2014 Compact Report, as a result of organisational priority to the successful completion of the DCU Incorporation Programme, we had previously submitted a revision to our target for this objective, targeting the establishment of 2 active partnerships by end of 2016. During 2016, DCU has extended its relationship with Princess Nora bint Abdul Rahman University (PNU). From 2017/18, DCU will deliver and accredit 2 undergraduate and 4 postgraduate taught programmes, in addition to arrangements and supports for PhD supervision.

However, we note the continued development of our relationship with Arizona State University (ASU) on a range of projects, and more recently, the roll-out of research partnership with Ulster University (UU), enabled by the Strategic Cross Border University Partnership, announced in July 2014. This includes the Horizon 2020 Midas Project in collaboration with UU and ASU, and the INCORE collaboration between the Psychology Research Institute at UU and the Institute for International Conflict Resolution and Reconstruction at DCU.

Enhanced intern	ationalisation - Objective 3
Institution objective	Increase the global mobility of our student body, reflected in improved international study and work-based placement opportunities for our domestic students
Performance Indicator	Increasing the number Irish undergraduate DCU students participating in Study abroad or international work placements as part of their programme
Baseline	~700 undergraduate students currently participating in international study abroad or international work placements as part of their programme
Interim Target, end 2014	Initiate active promotion of outward study abroad opportunities.
Progress against 2014 Target	A number of promotional and structural changes to undergraduate programmes have been initiated to increase participation in outward study abroad opportunities.
Interim target, end 2015	10% increase (from baseline)
Progress against 2015 target, commentary and data source	17.4% growth in registrations on programmes which include an element of international study abroad.
Final Target, End 2016	15% increase (from baseline)
Progress against 2016 target	34% increase in registrations on programmes which include an element of international study abroad

#### **Enhanced internationalisation - Objective 3: Additional Comments**

In meeting the targets of this objective, DCU has developed a number of initiatives and programme options to provide both additional opportunities for international study abroad experiences for our students, and where appropriate, formal recognition to those students who avail of a significant study abroad experience at part of their programme. Some of the initiatives currently in place in in 2016:

- The first new intake into BSc Global Business (Canada), which offers students a dual award, participating in two year's study at DCU, and two years at Brock University, Ontario, Canada.
- Extension of the BSc Global Business (USA) to partnership with University of San Diego, increasing by 50% the places currently available on this entry route.
- The establishment of international streams in Joint Honours in Humanities programmes

On 1st November 2016, 1023 students across 35 programme streams at DCU were registered on programmes that contain a study abroad experience as part of the programme.

Institution objective	Establish a significant cohort of (off-campus) international students taking DCU programmes online
Performance Indicator	Number of international students taking DCU online programmes from a location outside Ireland
Baseline	<50 in 2012
Interim Target, end 2014	60 registered students
Progress against 2014 Target	137 DCU Students of international domicile registered on DCU programmes prior to the end of 2014.
Interim target, end 2015	100 registered students
Progress against 2015 target, commentary and data source	41 DCU students of international domicile registered on DCU programmes to the end of 2015
Final Target, End 2016	200 registered students
Progress against 2015 target	53 registered students

#### **Enhanced internationalisation - Objective 4: Additional Comments**

Although DCU has seen an annual increase in the total number of internationally domiciled students studying on our distance education programmes in 2016, the total number of registered students remains below the target of 200. The university remains of the view that there continues to be opportunities for DCU to develop its profile internationally through the provision of distance education.

With respect to our international reputation as a significant provider of distance education, and thought leadership in the development of online pedagogy, it should be noted that since the establishment of the National Institute of Digital Learning (NIDL) in November 2013, by the end of 2016, over 340 research outputs has been produced by DCU staff with a focus on digital learning. This activity, which produced 150 scholarly outputs in 2016 alone, is evidence of the development of a strong research-teaching nexus in DCU's implementation of new digital technologies for teaching and learning purposes.

The NIDL continues to welcome international experts and play a lead role with international consortia on academic dialogue on digital learning. In 2016, DCU welcome six international visiting professor to give presentation on digital learning at DCU. DCU also hosted a full-day masterclass on learning analytics supported with external

funding (€5K) from the European Consortium of Innovative Universities, and further half-day learning design workshop hosted by Professor Grainne Conole with funding from the EU SCORE2020 project.

#### 5. Institutional consolidation

Institutional con	solidation - Objective 1
Institution objective	The creation of a 'new DCU', incorporating St Patrick's College Drumcondra, Mater Dei Institute of Education and the Church of Ireland College of Education into a single university entity
Performance Indicator	St Patrick's College Drumcondra, Mater Dei Institute of Education and the Church of Ireland College of Education are fully incorporated into DCU, with this 'new DCU' operating as a single university entity
Baseline	2013 - St Patrick's College Drumcondra, Mater Dei Institute of Education and the Church of Ireland College of Education operating as independent, autonomous organisations.
Interim Target, end 2014	The institutions will offer new DCU degree programmes with students registering with DCU.
Progress against 2014 Target	Completed. New DCU programmes offered in 2014 for entry in in September 2015
Interim target, end 2015	New students of all institutions will register with DCU
Progress against 2015 target, commentary and data source	Completed. New Students of DCU, SPD, MDI are all registered with DCU
Final Target, End 2016	DCU operating as a single University entity incorporating St Patrick's College Drumcondra, Mater Dei Institute of Education and the Church of Ireland College of Education
Progress against 2016 target	Completed.

# **Institutional consolidation - Objective 1: Additional Comments**

On 30<sup>th</sup> September, 2016 all legal documents underpinning the Incorporation programme were finalised today and signed by all stakeholders, on foot of approval by the respective governance bodies. The Incorporation programme has created the first faculty of education in an Irish university, the DCU Institute of Education, which will prepare teachers and educators for every level of education from early childhood through primary and second level onto higher and further education. Since September 2016, DCU now delivered education across three campuses - the Glasnevin, the St Patrick's and the All Hallows campuses - greatly expanding its geographical footprint in the north Dublin city area. The Incorporation programme has also resulted in significant growth in the Faculty of Humanities and Social Sciences, combining the traditional, contemporary and digital humanities to offer a wider suite of programmes at undergraduate and postgraduate level.

Institution objective	Develop a multi-faceted Alliance with DkIT
Performance Indicator	Specific, deep collaborative initiatives developed
Baseline	MOU signed; collaborations in entrepreneurship and innovation
Interim Target, end 2014	<ul> <li>Establish a model for a DCU-DkIT Graduate School;</li> <li>Identify options for joint Master's Degree Programmes</li> </ul>
Progress against 2014 Target	<ul> <li>Launch of DCU-DkIT Graduate School on 3<sup>rd</sup> October, 2014</li> <li>Developments in relation to future programme provision being progressed within the wider MEND Cluster initiatives on joint provision and ATP processes</li> </ul>
Interim target, end 2015	Implement model for a DCU-DkIT Graduate School; Establish common student entrepreneurship platform
Progress against 2015 target, commentary and data source	Implemented year 2 of the linked provider relationship and are likely to have the first DkIT graduates with DCU awards.  Researched a model of deeper integration of experience for research students and drafted an agreement to underpin this.
Final Target, End 2016	Offer 2 new (joint) Master's Programmes
Progress against 2016 target	DCU and DkIT continue to work together on the development of the DCU-DkIT Graduate School, including the future development of research and taught postgraduate programmes.

#### **Institutional consolidation - Objective 2: Additional Comments**

The DCU-DkIT Graduate School remains active in 2016, with a number of postgraduate research students co-supervised by both DCU and DkIT academic staff. DCU is hopeful for the further development of the DCU-DkIT Graduate School to include the shared ownership of postgraduate taught programmes in the future. In 2016, progress has been slower than anticipated, primarily a result of considerable institutional change in the respective institutions. With the conclusion of the Incorporation Process in October 2016, and the completion of a change in leadership at Dundalk IT over the same period, DCU look forward to continuing the development of this partnership in the future.

# **Appendix Information**

Note	Domain	Metric	Source Data and Method of Calculation
1	Participation, equal access and lifelong Learning	% DCU UG degree entrants through HEAR or DCU Access scheme	Data Source: DCU Student Registration System, ITS Based on student registrations on 1 <sup>st</sup> November, 2016, and aligned with Provisional HEA Student Return.  Total Entering Access Students = 384 Total 1 <sup>st</sup> year undergraduate students (excluding repeat students)= 3343 384/3343= 11.5%
2	Participation, equal access and lifelong Learning	Age Friendly Modules at DCU.	Age Friend University Network Institutions  Dublin City University Strathclyde University Arizona State University Lassell College, MA (USA) University of Manitoba Athlone Institute of Techonology National College of Ireland University of Pai Chai - South Korea Daejon Senior Support Centre - South Korea University of Mass Boston Florida State University Trinity College, Dublin Central Connecticut State University
3	Excellent teaching and learning and quality of the student experience	Programmes which have completed Periodic Programme Review by December 2015	Data Source: University Standards Committee, Education Committee Minutes.  Programmes which have completed Periodic Programme Review in 2013/14, 2014/15 and to December 2015/16 and until December 2016

MSc in Humanitarian Log & Emergency MEng in Telecommunications Engineering **B.Sc. Financial Mathematics** Mgt B.Eng. in Biomedical Engineering BSc in Financial & Actuarial BA in Accounting & Finance B.Eng. Mechanical & Manufacturing Eng Mathematics **BSc** in Aviation Management B.Eng. in Mechatronic Engineering Grad Certificate Actuarial Bachelor of Business Studies **BA** in Communication Studies **Applications** BA in Journalism MSc in Financial Mathematics Graduate Cert.in Corporate Treasury Graduate Cert in Digital Marketing MA in Journalism Bachelor of Science in Health & BA in Global Business Programme MA in Political Communication Society Streams BSc in Multimedia BSc in Children's & General Nursing Graduate Certificate in Management MSc Multimedia BSc in Nursing (General) Grad Cert. in Sustainable Energy Finance M.Sc. in Science Communication BSc in Nursing (Intellectual Disability) **Bachelor Business Studies International** MA in Social Media Studies BSc in Nursing (Psychiatric) Masters in Business Administration -MA in Film and Television Studies Bachelor of Nursing Studies (Hons) GrpA BCL (Law and Society) BSc (Honours) in Psychology MSc in Accounting **BA** in International Relations Diploma in Health Studies MSc in Electronic Commerce (Business) MA in Development Grad Dip in General Nursing Practice MSc in Finance MA in International Relations Grad Dip in Mental Health Nurs. Prac. M.Sc. in Human Resource Strategies MA in International Security & Conflict Grad Dip in Mental Healthe Care MSc in Human Resource Management BA in Applied Language and Intercultural Prac. MSc International Management BA in Contemporary Culture and Society MA in Sexuality Studies BSc Marketing, Innovation & Technology MA in Comparative Literature MSc Child & Adolescent Nursing MSc in Investment, Treasury & Banking MA in Intercultural Studies Practice MSc in Marketing Master of Arts in Translation Studies Masters in Psychotherapy MSc Org Change & Leadership MSc in Translation Technology MSc in Counselling & Psychotherapy Development MSc in General Nursing Practice **BA** in Humanities MSc in Work & Organisational BA in English & History MSc Intell. Dis. Health Care Practice Psychology BA in Humanities (Psychology Major) MSc in Int. Dis. Nurs. Practice MSc in Management BSc in Management of Info Tech/Info Syst Masters in Mental Health Nursing MSc in Sustainable Energy Finance BSc (Hons) in Information Technology Practic MSc in Mental Health Care Practice MSc in Emergency Management MSc in Information Systems Strategy MBS in Safety & Health at Work MSc in Management of Operations Higher Diploma in Children's Nursing MSc in Work & Organisational MSc. in Operations Management BSc in Applied Physics Behaviour MSc Management for Sustainable BSc Common Entry into Science MSc in Strategic Procurement Developme MSc in Plasma and Vacuum Professional Diploma in Accounting MSc in Mngt Enterprise Systems Technology Postgraduate Diploma in Taxation BSc in Biotechnology BSc Physics with Biomedical Sciences BSc in Physics with Astronomy **BSc in Computer Applications** BSc in Genetics & Cell Biology **BSc** in Enterprise Computing BSc. in Horticulture MEng in Healthcare Technologies Master of Science in Bioprocess Engineer B.Eng. in Common Entry into BSc Sport Science and Health **BSc Actuarial Mathematics** Engineering B.Eng. in Digital Media Engineering Common Entry into Mathematical Sciences BEng in Electronic Engineering **BEng Info and Communications** Engineering 25 MEng in Electronic Systems

4	Excellent teaching and	Information on training	Academic Speed Reading	Mentoring Information Session
	learning and quality of	provided by DCU	Budget Preparation for Research Proposals	Mentoring Workshop
	the student experience	Training and	Building Resilience	MS Excel
	'	Development Unit.	CASTeL Strategy Session	MS Powerpoint
		·	Chairing Programme Board	MS Word
			CICE Logistics Session	New Heads Orientation
			Classroom Technologies	Orientation for Researchers
			Collective Agreement Information Session	Orientation to DCU
			Communications Training for Researchers and	Preparation for Interview for Researchers and
			Academics	Academics
			Confident Workplace Communications	Presentation Skills for Professionals
			Creating Long and Complex Documents Using MS	Private Health Insurance Information Session
			Word	Professional Skills for Research Leaders and other
			CV Clinic for Researchers and Academics	on-line supports
			Data Protection Essentials	Project Management for Researchers and
			Design and Delivery of a Training Event	Academics
			Developing and Managing Your Research Career	Quality Review Training Seminar
			Developing your Professional Portfolio in Teaching	Recruitment Skills - Unconscious Bias and
			and Learning	Interviewer Training
			Examining Research Awards	Staff Request Application Training
			Financial Management for National and European	Starting Out as a Tutor
			Projects	Supervising the PhD Process
			Financial Protection for You and Your Family	Time Management: How to plan your work in a
			Getting the Best out of DCU Apps Drive	busy environment
			Giving Voice	University Leadership and Management
			Grant Writing Workshop for Researchers and	Programme
			Academics	Women and Success - Averil Leimon Workshop
			Intellectual Property	
			Interview Training	
			Introduction to Project Management	
			Logistics Information Session	
			Managing a Research Team: The Four M's for	
			Leadership	
			Managing a Research Team: Your Role as a Team	
			Leader in Recruiting Staff and Managing	
			Performance	

5	High quality, internationally	DCU Definition of "Research Active":						
	competitive research		Academic Staff must fulfil both of the following criteria:					
	and innovation		Have published at least once in the previous three calendar years, with publication included in					
			• •	b of Science and Goog	le Scholar databases,			
			AND,					
			<ul> <li>Staff memb</li> </ul>	er also is currently con	npleting a PhD, OR, superv	ising a research	n Postgrad in the curr	ent
			year.					
6 High quality, Number of PhD Data Source: DCU Student Re				CU Student Registration	n System, ITS			
	internationally	graduates completing						
	competitive research	taught credits as part of	Calendar	PhD Grads	PhD Grads completing	Total PhD	% Grads with	
	and innovation	their programme	Year	completing Credits	> 20 Credits	Grads	Credits	
			2010	6	4	93	4%	
			2011	17	14	108	13%	
			2012	31	30	143	21%	
			2013	51	48	148	32%	
			2014	62	60	126	48%	
			2015	56	55	110	50%	
			2016	69	69	101	68%	

8	Enhanced engagement with enterprise and the community and embedded knowledge exchange	Aggregate Measure of Knowledge Transfer Activities	The aggregate measure includes DCU's performance in the following knowledge transfer activities,  Spin-Outs  Licences, options and assignments  Filings  IDFs  NDAs  Industry Sponsored PhDs/Post-Docs  Consultancy  Contract Research >€50K  Contract Research <€50K  Co-Sponsored Research (total value >€50k)  Co-Sponsored Research (total value <€50k >€10K)  Facility Access Agreements <€50K
9	Enhanced engagement with enterprise and the community and embedded knowledge exchange	Community Knowledge Exchange (CKE) Projects and DCU Schools involved in CKE.	Data Source: Internal, Societal Impact Platform Director.
10	Enhanced internationalisation	Number of Non-EU Domiciled Students at DCU	Data Source: DCU Student Registration System, ITS, Based on 1 <sup>st</sup> November 2016 registrations  Based on those who report a domicile country in a non-EU country, OR, students for who domicile is unknown, but who pay Non-EU fees.  Students registered on 1 <sup>st</sup> November 2016 with reported non-EU domicile= 839  Students registered on 1 <sup>st</sup> November 2016, with no reported domicile, but Non-EU fee-paying status= 180  Total= 1,019
11	Enhanced internationalisation	Number of internationally domiciled distance education students	Data Source: DCU Student Registration System, ITS  Based on students registered from two sources:  a) Students registered as distance education students on 1 <sup>st</sup> November 2016, for the 2016/17 academic year, with an international domicile