

REPORT OF
THE HIGHER
EDUCATION
AUTHORITY
FOR 2007
AND 2008



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Chairman's Foreword

In the two year period covered by this Report there have been significant developments in higher education, including a continuing growth in student numbers (over 138,000 full time students and almost 32,000 part time students are enrolled in HEA designated institutions); the Programme of Research for Third Level Institutions (PRTL I) passed its 10th anniversary of investment in developing Ireland's research capability, and the institutes of technology were designated under the HEA, bringing the entire publicly funded higher education system under a single funding/regulatory agency.

The latter development was particularly welcome. The institute of technology sector has been an enormously successful endeavour and for more than three decades has played a major role in providing our society and economy with the skills we need. The transition to working within HEA structures, while still ongoing, has been very successful due to the close co-operation between the institutes and the Authority. The designation creates enormous capacity to generate new synergies and innovations in the combined higher education system.

The period also saw further broadening and deepening of collaboration in and between higher education institutions. Sharing of ideas, resources, challenges and opportunities strengthens our institutions and the system as a whole, helps it to deliver on national objectives and to compete internationally. 2008 was the first full year of the Strategic Innovation Fund which places a high value on such collaboration.

With this report we can provide only a snapshot of the work of the HEA. I encourage those with an interest to log on to our website, www.heai.ie to be kept informed of some of the key developments in the rapidly changing face of Irish higher education. I also recommend the website www.studentfinance.ie that we launched in 2008 as part of our strategy to increase participation rates among traditionally underrepresented groups by providing information on the supports available to students.

During 2008, changing economic circumstances presented many challenges for the higher education sector and adjustments in spending are being made to match those realities. These changes demand that the sector explore new ways of continuing to deliver value for money.

The HEA relies on the assistance and support of many Government departments, agencies, higher education institutions and others in the public and private sectors. To all of them, we are grateful. We are especially appreciative of the close working relationship that we have with the Minister for Education and Science and his Department. We look forward to working with the Minister and his Department on the development of a National Strategy for Higher Education which will provide clear strategic guidance for the development of the sector.

I want to acknowledge the work of the members of the Authority, and the Chief Executive and staff of the HEA, whose enthusiasm and commitment ensure that we achieve our work programme and inform and stimulate debate on higher education and research in Ireland.

Michael Kelly,
Chairman,
Higher Education Authority.

Major Developments 2007/2008

- Designation of the institutes of technology under the HEA.
- Publication of the National Strategy on Access to Education 2008 – 2013
- Launch of the website, www.studentfinance.ie
- Major advances were made in the reform of medical education, including the introduction of a Graduate Medical Education programme and additional undergraduate medical places provided.
- €230m allocated in Cycle 4 of the Programme for Research in Third Level Institutions (PRTL)
- The PRTL passed its 10th year of operation.
- €28m was allocated under a new scheme - the Research Equipment Renewal Scheme.
- New Code on Governance of Irish Universities launched
- New programme on Podiatry awarded to NUI Galway
- Twenty years of the Erasmus programme marked by President McAleese
- Much enhanced system for student data collection in the institute of technology sector.
- The Recurrent Grant Allocation Model fully implemented for the universities and introduced for four HEA funded institutions for the first time.
- Review of the Irish Universities Quality Board
- Commencement of the foresight exercise for the humanities and social sciences.
- Publication of HEA Strategic Plan, including a Communications Strategy.
- The HEA, working with the higher education institutions and the Departments of Education & Science and Enterprise, Trade and Employment secured in excess of €100m for the exchequer under ERDF, PSOP and ESF programmes of the European Union.
- The first colloquium for leaders of Irish higher education held in November 2008.

The Higher Education Authority

The Higher Education Authority (HEA) is the statutory planning and policy development body for higher education and research in Ireland. The HEA has wide advisory powers throughout the whole of the third-level education sector. In addition, it is the funding allocation authority for the universities, institutes of technology and a number of designated higher education institutions. (a full list is at Appendix 3)

Mission Statement

To foster the development of a higher education sector which is accessible to all potential students and which is recognised internationally for the high quality of teaching, learning and research and which has the capacity to address the changing needs and challenges in our society.

Principal Functions

- To further the development of higher education.
- To maintain a continuous review of the demand and need for higher education.
- To assist in the coordination of state investment in higher education and to prepare proposals for such investment.
- To allocate among universities, institutes of technology and the designated institutions the grants voted by the Oireachtas.
- To promote the attainment of equality of opportunity in higher education and democratisation of higher education.
- To promote the attainment and maintenance of excellence in learning, teaching and research.

The HEA is the Irish contact point for a number of EU programmes such as Erasmus and Tempus.

Thanks to unprecedented levels of financial support from the Irish Government under the National Development Plan and other initiatives, the HEA is supporting the development of an internationally competitive research system. In the past five years, the HEA's involvement in this area has grown to such an extent that the HEA is now the most significant source of support for third level research in the country.

Meetings of the Higher Education Authority are normally held every second month.

HEA Governance and accounts

Members of HEA

Members of the HEA are appointed by the Government on the nomination of the Minister for Education & Science generally for a period of 5 years with the exception of the President of USI who holds membership for the duration of his/her office as President of USI. The HEA Members for 2007-08 are outlined in appendix 1.

Meetings of the HEA

The HEA generally meet 6 times per annum. A full list of meetings held is outlined in appendix 2. In addition the Authority undertakes work through standing three standing committees (details below) and task forces which it sets up from time to time. During 2007 and 2008 there were meetings of the following task forces;

- Life long learning
- Recurrent grant allocation model
- Governance
- Research
- Institutes of Technology

Freedom of Information Act

With effect from January 2001, the HEA is covered by the provisions of the Freedom of Information (FOI) Act, 1997. This Act established three new statutory rights:

A legal right for each person to access information held by public bodies;

A legal right for each person to have official information relating to him/herself amended where it is incomplete, incorrect or misleading;

and

A legal right to obtain reasons for decisions affecting oneself.

To date, the HEA has received sixty requests (October 2001 - December 2008)

Copies of Section 15 Manual (Structure and Functions of the Authority) and Section 16 Manual (outlines the rules, regulations and precedents used by the HEA in carrying out its functions) are available on the HEA website: <http://www.heai.ie>

Re-Use of Public Sector Information

The Higher Education Authority complies with the regulations on the re-use of Public Sector information regulations 2005 (SI279 of 2005). The Authority encourages the re-use of the information that we produce. The regulations are available at www.psi.gov.ie

Code of Practice for the Governance of State Bodies

The HEA established in 2007 a Task Force of the Authority to review its compliance with the Code of Practice for the Governance of State Bodies. The task force completed its work in 2008 and approved a governance manual for members. Proposals considered by the task force and subsequently approved by the Authority included;

Schedule of reserved functions for the Authority

- Review Code of Conduct for Members including protocol on confidentiality
- Appointment of Deputy Chair
- Task Force structure and generic terms of reference
- Authority access to independent professional advice
- Terms of reference for 3 standing Committees
- Performance Management of the board
- A principles based approach to governance
- Authority structure and succession
- Corporate Social Responsibility

Audit Committee

The Audit Committee's primary function is to assist the Authority to fulfill its oversight responsibilities. It does so through reviewing the financial information provided in the HEA's audited accounts, the system of internal financial controls and financial risk management, which have been established and the internal audit function.

During 2007 the Committee met on four occasions and considered the following issues

- HEA 2006 Annual Accounts;
- Appointment of internal audit service;
- System of internal financial controls;
- HEA risk assessment framework; and
- HEA policy on the disposal of fixed assets

During 2008 the Committee met on four occasions and considered the following issues

- HEA 2007 Annual Accounts;
- Internal Audit report on IT Security;
- Risk Management Framework;
- HEA banking arrangements;
- Review of internal audit services and
- Co-ordination of audits on research programmes

Following a tender the Authority, on the recommendation of the Audit Committee, appointed Mazars to provide an internal audit service to the Authority.

The following are the members of the HEA Audit Committee;

Dr. Jim Ruane (Chairman)
Ms Doreen Delahunty
Mr. Louis Dockery
Dr. Pat Kelleher
Dr. Sarah Moore

Finance Committee

The HEA Finance Committee met on five times in 2007 and six occasions during 2008. The main role of the Committee was to review, and recommend to the Authority, the proposed recurrent grant allocations to the Universities, institutes of technology and other bodies funded by the Authority in addition to funding allocations under a number of other programmes. The following are members of the HEA Finance Committee;

Mr. Michael Kelly (Chairman)
Professor Tom Boylan
Mr. Martin Cronin
Mr. Louis Dockery
Ms Sheila Drum
Dr. Pat Kelleher

Action Plan for the Modernisation of Public Services

The HEA, in consultation with its Partnership Committee, continued to make progress on implementation of the agreed action plan for modernisation and flexibility as required under the Towards 2016 Agreement. During the year the Partnership Committee made significant progress on agreement on a new policy on recruitment and promotion of staff. Progress reports on the HEA's action plan have been submitted to the Department of Education and Science who in turn have sanctioned pay increases for March and September 2008 as provided under the agreements.

Official Languages Act, 2003

The HEA has been designated under the Official Languages Act, 2003. The primary objective of the act is to ensure better availability and a higher standard of public services through Irish. The Official Languages Act seeks to give legislative effect, insofar as the delivery of public services is concerned, to Article 8 of the Constitution as follows:

The Irish language as the national language is the first official language

The English language is recognised as a second official language

Provision may, however, be made by law for the exclusive use of either of the said languages for any one or more official purposes, either throughout the State or any part thereof. In accordance with section 11 of the Act, the HEA prepared a scheme specifying those of its services the public body proposes to provide:

Exclusively through the medium of the Irish language
Exclusively through the medium of the English language, and
Through the medium of both the Irish and English languages

The Authority's scheme was approved by the Minister for Community, Rural and Gaeltacht Affairs and has been in place since June 2008.

Ethics in Public Office Act, 1995 and Standards in Public Office Act, 2001

In accordance with the provisions of the above Acts, Members of the Authority provide statements of interest each year to the Chief Executive and copies of their statements are sent to Standards in Public Office Commission. Senior members of the Executive also provide statements of interest to the Chief Executive.

Employment Equality Acts, 1998 and 2004

The HEA is committed to a policy of equal opportunities. The HEA has agreed with its Partnership Committee a number of policies including an equal opportunities policy and dignity at work policy. The Authority also has in place a number of schemes to enhance work-life balance opportunities including a flexi time scheme and a formal policy on part-time working agreed in 2008.

Safety, Health and Welfare Act, 1989

The HEA has prepared a safety statement in accordance with the Act. This was reviewed in 2007. In 2007 the HEA introduced an Employee Assistant Programme for its staff and pensioners.

Quality Customer Service Plan

Following consultation with its stakeholders the Authority adopted a Quality Customer Service Plan in 2005. A review of this plan will be carried out in 2009.

Environment Friendly Initiatives

The HEA operates a recycling scheme. In 2008 it took further measures to cut back on the use of paper. The IT unit will review in 2009 ways of improving the energy efficiency of its PCs.

Prompt Payment of Accounts Act, 1997

The HEA complies with the provision of the above Act and has put in places procedures to ensure all invoices are paid promptly.

Higher Education Authority
2007
ACCOUNTS



2007 Final Accounts¹

Statement of the System of Internal Financial Controls.

1. On behalf of the members of the Higher Education Authority I acknowledge our responsibility for ensuring that an effective system of internal financial controls is maintained and operated.
2. The system of internal financial controls can provide only reasonable and not absolute assurance that assets are safeguarded, transactions are authorised and properly recorded, and that material errors or irregularities are either prevented or would be detected in a timely period.
3. Key Control Procedures
The Authority has taken steps to ensure an appropriate control environment by:
 - Clearly defining management responsibilities, including segregation of duties;
 - Adopting the principles of corporate governance contained in the Code of Practice for Governance of State bodies;
 - Establishing formal procedures for reporting significant control failures and ensuring appropriate corrective action is taken; and
 - Establishing formal procedures to monitor the activities and safeguard the assets of the organisation.

The system of internal financial controls is based on a framework of regular management information, a system of delegation and accountability, a set of financial and administrative procedures and rigorous ongoing checks by the finance function. In particular it includes:

- A comprehensive budgeting system with an annual budget, which is reviewed and approved by the members of the Authority;
- Regular review by the members of the Authority of periodic and annual financial information and reports which indicate financial performance against budgets; and
- Setting authorisation limits for expenditure in relation to requisition of funds and disbursement of funds.

The Authority has an Audit Committee who meet on a regular basis to review the work of Internal Audit. The Audit Committee report to the Authority. The work of the Internal Audit is outsourced and Internal Audit plans are based on a risk analysis profile. A medium term audit plan has been prepared and approved by the Audit Committee.

The Authority's monitoring and review of the effectiveness of the system of internal financial control is informed by the work of the internal auditor, the Audit Committee, the executive management team of the Authority which has responsibility for the development and maintenance of the financial controls framework, and comments made by the Comptroller and Auditor General in his report as applicable.

PricewaterhouseCoopers carried out a full Internal Audit Review of Internal Financial Controls in March 2006. They also carried out a review of the Audit Committee's terms of reference, provided training on

¹ This report was not reviewed by the Comptroller and Auditor General when the 2007 accounts were certified.

the role of the Audit Committee and advised on the scheduling of work for the committee throughout the year. A workshop on governance, risk and compliance for the senior management team took place in 2006. A final report was agreed and issued in January 2007

Following an invitation to tender Mazars were appointed as the HEA's new internal auditors in March 2007. Mazars carried out a review of internal financial controls in May 2007. The Authority has approved a risk management framework and Mazars are currently assisting the HEA with its implementation. Mazars recently carried out a review of the HEA's IT security and active directory. The recommendations of this review will be carried out during the course of 2008.

4 Annual Review of Controls

I confirm that for the year ended 31 December 2007, the Authority conducted a review of the effectiveness of the system of internal financial control.

Michael Kelly
Chairperson of the HEA

25th March 2009

A full set of the accounts is available at Appendix 2

Funding of higher education

General

During the period reported on there continued to be very strong support from business, government and other stakeholders for higher education and research as key engines of economic and social progress. This support continued even as the economic condition worsened dramatically during 2008. While the financial environment for higher education was reasonable in 2007, with core funding for the sector rising in line with general government expenditure, an outstanding feature of 2008, as for other parts of Irish society, was the steep deterioration in the economic situation and its implications for the sector. The year began with a challenging recurrent funding allocation and this worsened as the year progressed. This necessitated revised allocations along with reductions in pay and non-pay expenditure. On the other hand, and on a more positive note, supplementary funding for the sector was provided at the end of 2008 for required inescapable pay and other costs and the sector benefited from targeted funding through Cycle 4 of PRTL, Cycle I of SIF and the research equipment grant.

Funding allocation models

Work continued in 2007 and 2008 in developing and implementing revised funding models for the universities, the institutes of technology and other HEIs. Policy in this regard is informed by three key objectives - to ensure greater transparency and consistency in funding; to promote innovation and to promote performance which supports national objectives.

Substantial progress was made in 2007 in implementing the revised recurrent funding model for the universities and some smaller HEIs. Implementation of the Recurrent Grant Allocation Model (RGAM) was progressed as planned, with 100% implementation in the university sector and a 55% implementation with the smaller institutions. Other developments included -

- The putting in place of a moderating mechanism to avoid destabilising swings in institutional funding;
- Analysis was undertaken in relation to the costs associated with access students;
- A student audit was completed for the universities and the smaller institutions which will inform future allocations;
- the introduction of a process for strategically important and vulnerable subjects (SIVS);
- the introduction of a specialist institution premium;
- the changing of the weighting attached to Masters Taught students and the inclusion of some weighting for Non-EU research students.

A second objective in revising funding models is to promote innovation in the higher education sector, and in this context Cycle 2 of the Strategic Innovation Fund was implemented. In this Cycle, almost €100 million euro was allocated on a competitive basis to 21 universities and institutes of technology. An important outcome of this Cycle was a broadening and deepening of collaboration among institutions and the emergence of new regional clusters, together with a strengthening of clusters formed in Cycle 1. This is very consistent with national policy for the sector.

The third objective, to promote performance which supports national objectives, also made progress. A consultation paper on performance related funding was published early in 2008. While some debate and discussion occurred shortly after its publication the HEA decided to defer further consultation pending the setting up of the higher education strategy process.

Research Programmes

PRTL I

The Minister for Education and Science, Mary Hanafin TD, announced in January 2007 a call for proposals which invited eligible higher-education institutions to submit applications for support under the PRTL I Cycle 4. The launch of the programme began the practical roll-out of the Government's Strategy for Science Technology and Innovation 2006-2013 (SSTI) and enabled further development of the national research infrastructure and postgraduate education.

Cycle 4 of the Programme for Research in Third Level Education (PRTL I) was completed on target in 2007. This Cycle incorporated a number of innovations to take account of the role of other funders and to enhance quality. These included direct consultation with funders on specific proposals and the incorporation of site visits and enhanced peer review into the process. €230m (€120m capital and €110m recurrent) was allocated over three years for strategic infrastructure and programmes. Specific outputs contributing to SSTI targets will be:

- 819 new and additional research spaces to be provided (23.2% of SSTI target)
- 483 replacement/refurbishment of research spaces (34.5% of SSTI target)
- Direct funding of 360 postgraduate students over the period
- Direct funding of 199 additional contract research posts
- Establishment of 10 national collaborative programmes of 16 programmes funded
- Establishment of 10 graduate education initiatives

Other significant developments during the period under review were -

- The Research Facilities Enhancement Scheme allocated €58m into the higher education system to enable refurbishment and upgrading of existing facilities.
- €28m was allocated under the Research Equipment Renewal Scheme. This was managed by the HEA Executive on behalf of the DES as part of a broader initiative which included SFI and EI addressing the requirement for updating of equipment in higher education institutions. 305 items of equipment are to be purchased across 24 higher education institutions with approximately €25m allocated to equipment in Science, Engineering and Technology and €3m on equipment in the Humanities, Arts and Social Sciences.
- The HEA, on behalf of Irish Aid, facilitated a consultation forum for key stakeholders and the second call for proposals under the programme launched in October 2007. The Irish Aid Programme approved €4.7m.
- The E-journals provision was expanded to include Humanities and Social Sciences.
- In excess of €100m was secured for the Exchequer under the ERDF and ESF programmes of the European Union.
- The HEA FP7 Research Infrastructures team supported Irish researchers who secured in the region of €1.56m in 2008, building on €3m secured in 2007 (more than total secured under FP6).
- Reviews of the Irish Research Council for Science, Engineering and Technology (IRCSET) and the Technology Sector Research Fund (TSR) were initiated in 2008 for completion in 2009
- A review of Structured PhD education was completed in 2008 as a basis for the enhancement of PhD education in Ireland.

- Significant progress was made, working with the Department of Education and Science, on developing a national framework for researcher careers.
- Baselines and progress projections associated with space and human capital targets in the SSTI were established by a group led by the HEA.
- The HEA contributed to the First Progress Report on the SSTI.
- A tender process run by HEAnet resulted in the purchase and installation of an IBM Blue Gene / P Supercomputer. The purchase has been enabled by funds from the Cycle 3 of PRTL1.

By end 2008 the Call for Proposals for Cycle 5 of the PRTL1 had been developed and agreed at Ministerial level. This Call is an essential element in achieving the objectives of the Strategy for Science Technology and Innovation

European Research Programmes

Consistent with its role as the key national provider of research and education infrastructure, the HEA in 2007 assumed a number of important positions in the European Context. Specifically, Dr. Eucharía Meehan was appointed by Minister of Enterprise, Trade & Employment, Michèal Martin, as a National Delegate for the European Strategy Forum for Research Infrastructures (ESFRI). Dr. Gemma Irvine is the National Delegate to the FP7 Research Infrastructures Programme and Ms. Sarah Dunne has been appointed as the National Contact Point.

In addition, the latest Framework Programme, the Seventh EU Framework Programme for Research and Technological Development (FP7), commenced on 1st January 2007, with a budget of approx. €50 billion covering the period 2007 – 2013. Ireland continues to be successful in accessing funding through this programme. From its launch in early 2007, Irish applicants secured € 4.5 million, compared with € 3.5 million in total secured under the equivalent programme in FP6 (2002 – 2006).

PRTL1 10: Celebrating ten years of investment in research

2008 marked the ten year anniversary of the establishment of the Programme for Research in Third Level Institutions (PRTL1). The launch of the programme in 1998 marked the introduction of a unique and innovative approach to enhancing research and education capability for the Irish academic community. A series of events took place in 2008 to mark the event. As well as conferences held in University College Cork, University College Dublin and the National University of Ireland, Maynooth, the HEA organised an exhibition and publication to celebrate the momentous occasion.

Transformations, How Research is Changing Ireland, a book and exhibition to mark the event was launched in November 2008. The exhibition was held in the Science Gallery, TCD and launched by Minister of Education and Science, Batt O'Keefe. The exhibition consisted of twenty-three projects and eight seminars demonstrating the outcomes of a decade of achievement in research under the PRTL1 by showcasing some of the projects funded and enabled in a variety of fields. The exhibition ran over six days and was open for the general public to attend.

Equity of Access to Higher Education

2007 and 2008 saw significant progress on a number of fronts. At the end of this period, the access agenda benefitted from an enhanced strategic direction, combined with better data to guide future policy and practice.

Launch of National Access Plan

The National Access Office (NAO) of the HEA developed and launched a new National Access Plan for the period 2008-2013. The Plan was launched by Minister for Education and Science, Batt O’Keeffe, T.D. on July 9th 2008. The plan was drawn up by the NAO, in consultation with the Department of Education and Science and other education partners and access practitioners in higher-education institutions.

The plan provides an evaluation of progress to date, analyses the key challenges, presents policy objectives and the actions that will support them and sets challenging but realistic new targets for the coming years.

The Plan identifies five high level goals and thirty-four action points that are required to achieve greater equality and wider participation in higher education. The following are the stated objectives of the Plan:

- The priority accorded to promoting equality in higher education will be reflected in the strategic planning and development of the Higher Education Authority and of higher-education institutions.
- The lifelong learning agenda will be progressed through the development of a broader range of entry routes, a significant expansion of part-time/flexible courses and measures to address the student support implications of lifelong learning.
- The priority accorded to promoting equality in higher education will be reflected in the allocation of public funds to higher-education institutions.
- Students will be assisted to access supports and those supports will better address the financial barriers to access and successful participation in higher education.
- The higher-education participation rates of people with disabilities will be increased through greater opportunities and supports.

The Plan will build on the strengths of the Irish education system and continue to drive the agenda of work on overcoming barriers to the continued expansion of participation in higher education by all groups in society.

Launch of www.studentfinance.ie

Studentfinance.ie was launched early in January 2008 to provide user-friendly information on financial schemes such as the Maintenance Grant, the Student Assistance Fund, the Back to Education Allowance and the Fund for Students with Disabilities. The website was developed on foot of a recommendation in the 2005 review of access funding by the National Access Office which highlighted the need for more comprehensive and accessible information for students and parents on the full range of state supports available in further and higher education. In 2008 there were over 181,000 visits to www.studentfinance.ie. The site was shortlisted for the Golden Spider Awards under the category of 'Best Education, Research and Training Website' and for an e-government award under the Education category.

Review of Outcomes of the 'Equal Access' data collection (2007-8)

Data on the social, economic and cultural background of higher education entrants was collected at registration by higher education institutions, for the first time, in the 2007-8 academic year. The data was collated and analysed and a preliminary report was presented to the Authority in November 2008. The collection of the data is now part of a formalised, systematic process and will inform and advise access policy, funding and practice going forward.

Other key achievements in equity of access to higher education include:

- AMA Professional Development Course. In 2007 the network of access officers (AMA) proposed the establishment of a professional development course with support from the National Access Office for access personnel in higher education institutions. The course is a tailor-made Masters degree module, which would count for 10 credits at level 9 of NFQ. The course is offered through the UCD School of Social Justice with significant input from staff of WIT's Masters in Management in Education programme. This partnership will combine content on diversity and equality issues with practical training in strategic planning. This development has considerable potential to advance institution-wide planning for access and as a key forum for the exchange of good practice between institutions;
- The NAO coordinated the HEA contribution to the European Year of Intercultural Dialogue 2008 and in partnership with Léargas produced a booklet to mark the European Year of Intercultural Dialogue 2008, focussing on activities/projects/examples of good practice in the area of interculturalism that have emerged as a result of the EU Lifelong Learning.
- Funded and supported by the National Access Office, AHEAD published a comprehensive resource guide for the providers of services and supports for students with disabilities in higher education;
- The Government approved €1.4m for 35 activities across 12 institutes of technology from the Dormant Accounts fund, to support the development of access services in the sector. The Government also approved an additional €1m for a second call to institutes, bringing the total anticipated additional investment in the IOTs to €2.4m;
- Over €20m in gross funding was allocated annually through the Fund for Students with Disabilities, the Student Assistance Fund and the Millennium Partnership Fund;

- The establishment of a partnership with the NCCA to develop a transition year module on access to further and higher education;
- A consultation paper “Towards a new policy approach to higher education access courses” was published in 2007 by the National Access Office. It outlined the rationale and provision for these courses and identified some of the issues arising. In 2008, in response to this document, a review of higher education access/foundation course provision was commissioned. The final report will inform policy in this area.
- In response to an OECD project entitled “identifying high quality transition programmes provided to people with disabilities on transition to post-secondary education, during tertiary education and through to employment”, the NAO in consultation with the Department of Education and Science and other state bodies, developed a comprehensive Country Report for Ireland.

European Programmes

The Higher Education Authority is the contact point for the Erasmus exchange programme of the European Union. To mark twenty years since the programme's establishment, President Mary McAleese addressed an invited audience at Farmleigh, Dublin, organised by the HEA in April, 2008.

Following on from this event the HEA initiated an annual lecture on higher education and its importance to Europe. The first lecture was delivered by Peter Sutherland, who was Ireland's EU Commissioner at the inception of the Erasmus programme.

As the national agency for Erasmus, the HEA organised a number of events as well as continuing to promote the programme -

- Range of seminars on Bologna Process themes in partnership with NQAI
- Promotional posters/bookmarks published and distributed to HEIs and second level schools. Progress was made in relation to the compilation of material for a newsletter which is planned for publication early in 2009
- Hosted Joint Meeting of LLP: Erasmus National Agencies
- Joint Publication with Léargas to celebrate the 2008 European Year of Intercultural Dialogue
- Development of network relationship with Forfás and IBEC particularly in the area of Placements under the Erasmus Programme. A relationship was also established with the European Commission Office, Dublin.
- Continuance of Help Desk for LLP: Erasmus; Erasmus Mundus; Tempus and Bologna Process
- First Irish-UK Bologna Conference

Policy, Planning and Development

During the period, considerable progress was made towards putting in place a strategic framework for the higher education sector, involving a number of separate, but inter-related, activities. A key element of this was the national strategy for higher education. Although the process was not formally commenced, by December 2008 the HEA and the Department of Education and Science had developed terms of reference and established the Secretariat under Fergal Costello.

Other key strategic developments included-

- Publication of HEA Strategic Plan;
- the foresight exercise for the humanities and social sciences was established (see more below);
- the study on open and distance learning was commenced;
- Substantial progress was made in strengthening data collection and analysis as a support to policy and accountability. The institutes of technology were successfully integrated into the Student Records System, allowing for much deeper analysis of IoT data and direct comparison between IoT and university and colleges sectors. The take-over of collection of third level data from the private institutions, the remaining non-HEA teaching institutions, and other publicly-aided institutions not funded by the HEA was also completed. The HEA is now the sole source of higher-education student data in Ireland for 2007/08 and subsequent years.
- An external review of the Irish Universities Quality Board was completed.

Foresight in the Arts, Humanities, and Social Sciences

At the request of the Minister for Education and Science, the HEA and the Irish Research Council for the Humanities and the Social Sciences (IRCHSS) jointly undertook a Foresight in the Arts, Humanities, and Social Sciences (FAHSS) exercise. The overall objective of the exercise is to provide a comprehensive review of the contribution which a thriving arts, humanities, and social sciences sector can make to social and economic development; to review the status, strengths and weaknesses of the arts, humanities and social sciences in Ireland; and to chart a course for their future development.

The exercise commenced in the spring of 2008, and is due to report in 2009. Dr. Maurice Bric, Chairman of the IRCHSS, was appointed as Chairman of the FAHSS Working Group, and Mr. Michael Kelly was appointed as Chairman of the FAHSS Steering Committee. During the year the FAHSS secretariat undertook two surveys—one of graduates and one of employers to assist in the exercise. Submissions from higher-education institutions, cultural and other state bodies were also received.

Pensions Working Group

A proposal that the state should take over the assets and financing of liabilities of the pensions funds of the five older universities was agreed by the Pensions Working Group.

Medical Education

In 2007, a panel of experts assessed the proposals received for the provision of the first graduate entry medicine courses. Courses commenced in September 2007 in the Royal College of Surgeons in Ireland and the University of Limerick. UCD, UCC and NUIG will also run a graduate entry medicine course in the future with Trinity College opting to increase their EU student numbers at undergraduate level. The number of EU undergraduate places also increased by 40 in 2007/08 bringing the total number of EU places available to 415. Further funding was provided to the institutions for curriculum reform, a clinical skills laboratories and for a number of academic clinician posts (jointly funded with the HSE).

Podiatry

Following an external competitive process, a new undergraduate programme in podiatry was established at NUIG.

Review of IUQB

In 2007, at the request of the Irish Universities Quality Board, the HEA established an international expert panel to review the IUQB's performance, alignment with European standards and progress in developing its quality enhancement goals. The review found that the IUQB performs its principal functions effectively and was generally compliant with European standards but recommended changes in governance, stronger engagement from senior university officers, clarification on its mission and greater follow-up of IUQB recommendations.

Designation of the Institutes of Technology

Following the enactment of the Institutes of Technology Act, 2006, responsibility for recurrent grant funding for the institutes of technology was transferred from the Department of Education and Science to the HEA in February 2007. The HEA set as a first priority the successful integration of the Institutes of Technology into its funding and other processes on the basis of consistency of approach between universities and institutes. The HEA successfully undertook its first grant allocation process to the Institutes of Technology and DIT in February 2007. In 2007 the total allocation for the IoT sector was €534,437,000, an increase of 4.3% over 2006.

The HEA is committed to implementing the same principles of transparency and consistency of funding in the institutes of technology with the development of a funding allocation model for this sector. Work continued on this project throughout 2007 and 2008. 2008 represented the first full year following designation of the institutes of technology under the HEA. A primary focus for the year was carefully implementing the transition and developing a funding model for the institutes. Progress was made in a number of areas –

- Removal from the allocation process of the disincentive to earning “other income”.
- A consultation document on a new funding model was issued.
- A student audit process and a data harmonisation exercise commenced.
- Significant analysis was carried out on unit cost data.
- Significant work was progressed in relation to the Code of Governance for the Institutes of Technology sector.
- Funding was provided for e-journals for the institute of technology sector.

Joint HEA/ Forfas Report - “The Role of the Institutes of Technology in Enterprise Development”:

In the context of the essential role of the Institutes of Technology in Ireland’s social, cultural and economic development, Forfás and the HEA in 2007 agreed to undertake jointly a review of the capabilities and capacities of the Institutes with particular regard to their role in underpinning enterprise growth.

Findings included:

- There exists a systematic industry input to institutional strategic planning
- There is continued institutional flexibility in engaging with enterprise including the use of financial resources and the deployment of staff
- The importance of industry input to course design and course delivery
- Continued institutional support for companies who wish to upskill their staff
- The strategic importance of institutes delivering Level 6&7 programmes
- The accessibility of Research and Development expertise in Institutes across Ireland
- The enhanced Institute capability to engage on technology transfer matters
- Coherence in the spectrum of supports available for entrepreneurship in the regions
- Identification and communication of Institutes’ areas of expertise
- Increased Institute involvement in regional and national strategic groups

Apprenticeship Provision in the Institutes of Technology

Apprenticeship is the recognised means by which people are trained to become craftspeople in Ireland. The main craft trades have been designated by FÁS and come within the scope of the Statutory Apprenticeship system, which is organised in Ireland by FÁS in co-operation with the Department of Education and Science, HEA, Employers and Trade Unions.

Apprenticeships are demand-driven, with a workplace, classroom, educational and training programme for employed people aimed at developing the skills of the apprentice to meet the needs of industry and the labour market. The curriculum for each apprenticeship programme is based on uniform, pre-specified standards which are agreed and determined by industry. On successful completion of an apprenticeship, a Further Education Training Awards Council (FETAC) Advanced Certificate is awarded; this is recognised internationally as the requirement for craftsperson status.

With a significant reduction in new apprentice enrolments throughout 2008 the issue of the appropriate level of apprenticeship provision in the Institutes posed serious challenges for the sector in the short to medium term. The Higher Education Authority and the Department of Education and Science continue to monitor apprentice registrations by FÁS on a monthly basis and continued reductions in provision are forecasted. In order to enable the sector to respond in the most effective possible manner to these challenges an apprenticeship forum comprising of the Presidents/Director of the Institutes of Technology and the HEA was convened on 16th December 2008. The forum discussed the following:

- FÁS Projection of Requirement for Provision in 2009 and 2010.
- Options for Dealing with Redundant Apprentices.
- Options for Providing Additional Skills for Craftspersons.

Institutional Accountability

There was positive progress in developing accountability procedures during the period under review. A significant achievement was the presentation to the Authority of the first report required under the Code of Governance in the university sector. Progress was made too with review of various Frameworks (Departures from Approve Salary Rates, Payments by Corporations, Borrowing Framework) but further work is needed to conclude these processes.

Governance of Irish Universities

The joint HEA/IUA working group on the Governance of Irish Universities which had been set up to draft a new code of governance for the Irish universities completed its work and finalised a new code which was accepted by the governing authorities of all seven Irish universities. The new code, *Governance of Irish Universities*, was launched at a seminar in December 2007, attended by the Chairs, Chief Officers, and other senior officers of the universities. The document is a governance code of legislation, principles, best practice and guidelines for the university sector. HEA made resources available for governance training in 2008.

A similar Code of Governance for the Institutes of Technology is planned for 2009.

Full Economic Costing (FEC) Project

The HEA participated on the Steering Group for the new Full Economic Costing Project which completed its scoping phase in 2007. The Project is funded under the Strategic Investment Fund (SIF) and is being conducted by the Irish Universities Association (IUA). The purpose of the FEC system is to measure the full economic cost of university activities irrespective of funding sources.

Data Collection

Institutes of Technology data was collected for the first time in 2007/2008 and processed through the Student Record System (SRS). This allows for direct comparison with the University and Teacher Training data already collected in the SRS. Data from other State aided higher education institutions and from some Private higher education institutions was collected in paper format.

The Equal Access data collection initiative was rolled out for the first time across all third level institutions. The data return was very satisfactory and the initiative is continuing in 2008/2009.

Borrowing Framework

Section 38 of the Universities Act 1997 allows universities to engage in borrowing subject to a framework agreed between the universities and the HEA. This Framework for Borrowing and Loan Guarantees was agreed in 2001 and provides for a review in paragraph 7, "The framework shall be reviewed by an tÚdarás [HEA] and the universities every three years, or earlier as may be required by either side."

In accordance with Section 6 of the Framework for Borrowing and Loan Guarantees, full details of borrowing, underwriting and guaranteeing arrangements (including repayment periods and interest rates) and implications for recurrent expenditure as certified by the Accounting Officer for each University is to be submitted to the HEA. A review of the Borrowing Framework was commenced in conjunction with the IUA in 2007.

The HEA Organisation

A key outcome in 2008 organisationally was the putting in place of a coherent and comprehensive governance system for the HEA, including a memo setting out reserved and executive functions. Some progress was made on sharing services with other education agencies, and the fruits of discussion to-date are likely to be seen in 2009.

APPENDICES



Members of the Higher Education Authority

<i>Chairman</i>	Mr. Michael Kelly
<i>Deputy Chair</i>	Professor Mary Daly
	Professor Tom Boylan
	Dr. Cepta Brougham
	Dr. Mary Canning
	Dr. Thomas Cooke
	Ms. Grace Corcoran
	Mr. Michael Cotter
	Mr. Martin Cronin
	Ms. Doreen Delahunty
	Mr. Louis Dockery
	Ms. Sheila Drumm
	Mr. Colm Hamrogue (to June 2007)
	Dr. Chris Horn (resigned Jan 2008)
	Dr. Pat Kelleher
	Mr. Hamid Khodabakhshi (Nov 2007 – June 2008)
	Ms. Kathleen Lough
	Dr. Sarah Moore
	Mr. Richard Morrisroe (July 2007 – October 2007)
	Mr. Shane Kelly (appointed July 2008)
	Professor Frances Ruane
	Dr. Jim Ruane

Professor Daly was appointed as Deputy Chair by the Authority in May 2008 for a two year term.

Meetings of the Authority

23rd January 2007
28th February 2007 (inaugural meeting of reconstituted Authority)
27th March 2007
10th May 2007 (special meeting on Governance and the HEA Strategic Plan)
22nd May 2007
24th July 2007
25th September 2007
4th December 2007
22nd January 2008
4th March 2008
1st April 2008 (held in Galway-Mayo Institute of Technology)
27th May 2008
22nd July 2008
30th September 2008
25th November 2008

An tÚdarás um Ard-Oideachas

2007 Income & Expenditure Account for the year ended 31st december 2007

	2007	2006
	€	€
INCOME		
Oireachtas Grants	1,541,801,948	938,931,433
Interest Earned	624,744	377,357
Net deferred funding for Pensions	724,853	856,255
Other Income	828,170	361,959
	<hr/> 1,543,979,715	<hr/> 940,527,004
<u>Less</u>		
Amounts allocated for Capital Purposes	(343,669)	(421,825)
	<hr/> 1,543,636,046	<hr/> 940,105,179
EXPENDITURE		
Recurrent Grants	1,332,414,543	785,389,874
Capital Grants	44,511,709	36,986,379
Research Grants	154,108,051	109,000,725
Administration Overheads	7,403,970	6,986,880
IRCHSS Overheads	806,592	689,816
IRCSET Overheads	1,066,863	797,609
Access Office Overheads	848,872	657,896
	<hr/> 1,541,160,600	<hr/> 940,509,179
	2,475,446	(404,000)
Transfer from Capital Reserve	378,936	413,949
	<hr/> 2,854,382	<hr/> 9,949
Net Surplus for the year.		

An tÚdarás um Ard-Oideachas

Balance Sheet As At 31st December 2007

	2007	2006
	€	€
Fixed Assets	935,993	971,260
CURRENT ASSETS		
Debtors and Prepayments	306,867	536,207
Bank and Cash Balances	47,829,840	18,272,807
	48,136,707	18,809,014
CURRENT LIABILITIES		
Creditors and Accrued Expenditure	44,070,266	17,596,955
Net Current Assets	4,066,441	1,212,059
Total Assets before Pensions	5,002,434	2,183,319
Deferred Pension Funding	9,425,040	9,097,721
Pension Liabilities	(9,425,040)	(9,097,721)
	0	0
Net Assets	5,002,434	2,183,319
Represented By:		
Capital Reserve	935,993	971,260
General Reserve	4,066,441	1,212,059
	5,002,434	2,183,319

Summary of all grants 2007 Schedule 1.

	Recurrent Grants	Capital Grants	Erasmus Grants	Research Grants	Access Grants	Total 2007	Total 2006
	€	€	€	€	€	€	€
National University of Ireland	12,697					12,697	12,697
University College, Dublin	179,353,775		599,932	21,764,467	1,250,452	203,002,090	218,004,630
University College Cork	127,081,062	33,464	443,154	29,542,170	1,106,901	180,338,698	142,106,005
National University of Ireland, Galway	104,020,615	22,165,411	349,401	14,494,213	1,165,825	122,804,554	106,625,911
National University of Ireland, Maynooth	48,889,381	2,774,500	97,255	6,158,302	655,173	56,675,111	51,593,651
Trinity College Dublin	131,553,349	875,000	375,363	19,856,063	1,293,804	153,598,325	150,904,169
Dublin City University	55,065,874	519,746	277,421	11,739,775	458,022	68,503,571	70,896,348
University of Limerick	77,694,875	962,479	596,219	7,713,679	802,959	96,050,487	79,452,284
National College of Art and Design	12,848,226	9,242,755	58,641	447,000	177,275	14,939,537	13,622,503
Royal Irish Academy	3,675,200	1,408,395		520,000	-	4,195,200	3,416,900
Mary Immaculate College	19,299,674		87,442	588,376	141,367	24,493,888	28,292,081
St. Patrick's College, Drumcondra	18,752,667	4,377,029	18,378	80,271	3,949	21,008,195	19,133,369
Athlone Institute Of Technology	25,235,907	2,152,930	15,895	778,314	357,280	26,387,396	1,203,312
Blanchardstown Institute Of Technology	13,439,134		28,907	187,527	263,692	13,919,260	176,614

Extracts from 2008 Draft Accounts as approved for Audit by HEA 24th March 2009

Income & Expenditure Account For The Year Ended 31st December 2008

	2007	2006
	€	€
INCOME		
Oireachtas Grants	1,645,238,904	1,541,801,948
Interest Earned	809,537	624,744
Net deferred funding for Pensions	695,691	724,853
Other Income	879,721	828,170
	1,647,623,853	1,543,979,715
<hr/>		
Less		
Amounts allocated for Capital Purposes	(222,874)	(343,669)
	1,647,400,979	1,543,636,046
<hr/>		
EXPENDITURE		
Recurrent Grants	1,427,774,820	1,332,414,543
Capital Grants	37,348,013	44,511,709
Research Grants	173,458,522	154,108,051
Administration Overheads	7,552,887	7,403,970
IRCHSS Overheads	930,704	806,592
IRCSET Overheads	1,019,681	1,066,863
Access Office Overheads	750,564	848,872
	1,648,835,191	1,541,160,600
<hr/>		
	(1,434,212)	2,475,446
Transfer from Capital Reserve	404,021	378,936
	(1,030,191)	2,854,382
<hr/>		
Net Surplus for the year.	(1,030,191)	2,854,382
<hr/>		
	Chairperson	Chief Executive
<hr/>		
	Date	Date

An tÚdarás um Ard-Oideachas

Balance Sheet As At 31st December 2008

	2008	2007
	€	€
Fixed Assets	754,846	935,993
CURRENT ASSETS		
Debtors and Prepayments	418,452	306,867
Bank and Cash Balances	30,821,049	47,829,840
	31,239,501	48,136,707
CURRENT LIABILITIES		
Creditors and Accrued Expenditure	28,203,251	44,070,266
Net Current Assets	3,036,250	4,066,441
Total Assets before Pensions	3,791,096	5,002,434
Deferred Pension Funding	9,772,719	9,425,040
Pension Liabilities	(9,772,719)	(9,425,040)
	0	0
Net Assets	3,791,096	5,002,434
Represented By:		
Capital Reserve	754,846	935,993
General Reserve	3,036,250	4,066,441
	3,791,096	5,002,434

Summary of all grants 2008 Schedule 1.

	Recurrent Grants	Capital Grants	Erasmus Grants	Research Grants	Access Grants	Total 2008	Total 2007
	€	€	€	€	€	€	€
National University of Ireland	112,697					112,697	12,697
University College, Dublin	188,253,084		560,318	22,680,053	952,027	212,445,482	203,002,090
University College Cork	140,013,274	18,801,835	528,404	29,775,253	1,573,541	190,692,307	180,338,698
National University of Ireland, Galway	110,946,034	110,218	445,205	18,028,466	727,617	130,257,540	122,804,554
National University of Ireland, Maynooth	54,733,014	4,045,146	103,893	10,245,538	646,355	69,773,946	56,675,111
Trinity College dublin	136,258,829		445,004	22,250,875	1,147,046	160,101,754	153,598,325
Dublin City University	60,185,101		220,390	13,250,088	449,515	74,105,094	68,503,571
University of Limerick	84,821,298	5,737,916	909,908	10,707,617	690,096	102,866,835	96,050,487
National College of Art and Design	13,815,578	2,688,668	97,797	223,823	151,874	16,977,740	14,939,537
Royal Irish Academy	3,911,223			521,542		4,432,765	4,195,200
Mary Immaculate College	21,315,416	3,248,267	21,675	351,821	165,894	25,103,073	24,493,888
St. Patrick's College, Drumcondra	20,536,933	2,715,962	32,454	488,714	40,824	23,814,887	21,008,195
Athlone Institute of Technology	28,991,194		25,833	2,504,992	336,943	31,858,962	26,387,396
Blanchardstown Institute of Technology	14,321,172		17,687	310,099	180,678	14,829,636	13,919,260
Institute of Technology, Carlow	28,703,182		19,979	447,228	159,707	26,330,096	23,905,354
Cork Institute of Technology	65,925,580		107,317	3,205,752	592,506	69,831,155	64,835,630
Dublin Institute of Advanced Studies				2,762,343		2,762,343	4,275,430
Dublin Institute of Technology	145,861,013		398,177	4,548,438	949,174	151,756,802	144,749,709
Dundalk Institute of Technology	27,961,622		72,176	1,273,187	263,619	29,570,604	27,751,681
Dunlaoghaire Institute of Technology	15,552,599		4,960	318,128	274,265	16,149,952	14,584,045
Galway–Mayo Institute of Technology	44,621,062		200,334	1,034,886	459,303	46,315,585	44,015,067
Letterkenny Institute of Technology	23,069,347		8,536	581,746	431,943	24,091,572	20,300,560
Limerick Institute of Technology	32,462,112		12,056	803,025	410,256	33,687,449	31,118,454
Institute of Technology, Sligo	30,504,779		122,744	446,951	257,393	31,331,867	27,000,991
Institute of Technology, Tallaght	26,749,272		43,768	3,514,050	124,337	30,431,427	27,255,412
Institute of Technology, Tralee	23,589,158		34,528	1,296,605	213,907	25,134,198	23,714,602
Waterford Institute of Technology	50,621,164		67,962	4,363,274	477,474	55,529,874	51,552,223
Froebel College of Education			6,267		4,716	10,983	14,415
Heanet	4,067,631			10,235,000		14,302,631	14,835,003
Mater Dei Institute of Education	3,912,471		19,984		14,569	3,947,024	3,737,499
National College of Ireland				12,002	125,958	137,960	176,000
Royal College of Surgeons in Ireland	3,567,171			4,649,564	9,126	8,225,861	14,721,262
St. Angelas College	4,824,272		8,953		33,992	4,867,217	3,918,870
St. Catherine's College of Education for Home Economics					(3,912)	(3,912)	(3,672)
Tipperary Institute of Technology	-		(7,000)		41,373	34,373	59,208
St Patrick's College Maynooth	-						
Marine Institute of Education	-			700,000	12,079	712,079	25,433
UK Institutions	-			241,282	422,167	663,449	331,785
Irish Institutions	-		-	47,575	3,005,030	3,052,605	2,790,430
Pontifical University	-		8,907		10,892	19,799	11,938
Area Development Management					2,280,000	2,280,000	2,275,000

Summary of all grants 2008 Schedule 1 continued.

	Recurrent Grants	Capital Grants	Erasmus Grants	Research Grants	Access Grants	Total 2008	Total 2007
	€	€	€	€	€	€	€
Norface						112,697	12,697
Est/Hera	1,407,207,282		560,318	22,680,053	952,027	212,445,482	203,002,090
St. Nicholas Montessori College		18,801,835	528,404	29,775,253	1,573,541	190,692,307	180,338,698
Teagasc		110,218	445,205	18,028,466	727,617	130,257,540	122,804,554
Royal Irish Academy Of Music		4,045,146	103,893	10,245,538	646,355	69,773,946	56,675,111
All Hallows			445,004	22,250,875	1,147,046	160,101,754	153,598,325
Milltown Institute Of Theology And Philosophy			220,390	13,250,088	449,515	74,105,094	68,503,571
Griffith College		5,737,916	909,908	10,707,617	690,096	102,866,835	96,050,487
Shannon College Of Hotel Management		2,688,668	97,797	223,823	151,874	16,977,740	14,939,537
lclrd				521,542		4,432,765	4,195,200
loti		3,248,267	21,675	351,821	165,894	25,103,073	24,493,888
Esri		2,715,962	32,454	488,714	40,824	23,814,887	21,008,195
Queen's University Belfast			25,833	2,504,992	336,943	31,858,962	26,387,396
Irish Institutions Community Initiative			17,687	310,099	180,678	14,829,636	13,919,260
Irish University Association	1,512,429		19,979	447,228	159,707	26,330,096	23,905,354
Codiot	1,308,750		107,317	3,205,752	592,506	69,831,155	64,835,630
Total	1,410,028,461	37,348,012	4,717,936	173,458,522	17,746,359	1,643,299,290	1,535,154,627

Recurrent Grant Expenditure Schedule 2.

	Recurrent Grants	Capital Grants	Erasmus Grants	Research Grants	Access Grants	Strategic Initiatives	ITIF	Total 2008	Total 2007
	€	€	€	€	€	€	€	€	€
National University Of Ireland	112,697							112,697	12,697
UNIVERSITY COLLEGE, DUBLIN (E)	133,395,857	200,000	49,736,444			3,382,233	90,000	188,253,084	179,353,775
UNIVERSITY COLLEGE CORK	87,138,044	200,000	47,680,516		1,448,550	3,460,287	90,000	140,013,274	127,081,062
NATIONAL UNIVERSITY OF IRELAND, GALWAY	72,607,710	100,000	34,404,814		1,444,427	2,668,458	402,800	110,946,034	104,020,615
NATIONAL UNIVERSITY OF IRELAND, MAYNOOTH	33,433,786	50,000	20,552,585		762,252	103,000	169,500	54,733,014	48,889,381
TRINITY COLLEGE DUBLIN	97,885,225	200,000	33,253,291		424,143	3,267,950	529,450	136,258,829	131,553,349
DUBLIN CITY UNIVERSITY	37,580,547	50,000	21,339,887		1,122,913	491,000	90,000	60,185,101	55,065,874
UNIVERSITY OF LIMERICK	49,742,429	75,000	30,780,246		633,667	1,510,033	90,000	84,821,298	77,694,875
NATIONAL COLLEGE OF ART AND DESIGN	10,813,678		2,961,900		2,623,590		40,000	13,815,578	12,848,226
ROYAL IRISH ACADEMY	3,911,223							3,911,223	3,675,200
MARY IMMACULATE COLLEGE (F)	12,413,556		8,901,860					21,315,416	19,299,674
ST. PATRICK'S COLLEGE, DRUMCONDRA (F)	13,687,905		6,849,028					20,536,933	18,752,667
MATER DEI INSTITUTE (F)	2,623,840		1,288,631					3,912,471	3,706,307
HEANET/HIBER	4,067,631							4,067,631	3,650,000
ROYAL COLLEGE OF SURGEONS	688,000		2,055,070			824,101		3,567,171	4,118,700
ATHLONE INSTITUTE OF TECHNOLOGY	24,699,680		3,949,591			75,000	60,000	28,991,194	25,235,907
INSTITUTE OF TECHNOLOGY SLIGO	24,246,715		6,115,641		206,923		60,000	30,504,779	26,309,47
NATIONAL COLLEGE IRELAND					82,423		267,750		0
CORK INSTITUTE OF TECHNOLOGY	55,234,340		9,449,825			39,246	175,600	65,925,580	61,828,000
DUNDALK INSTITUTE OF TECHNOLOGY	23,329,267		4,238,713		934,419		75,400	27,961,622	27,166,037
WATERFORD INSTITUTE OF TECHNOLOGY	39,966,985		10,203,660		218,042	55,000	125,600	50,621,164	48,651,048
LIMERICK INSTITUTE OF TECHNOLOGY	25,137,382		6,883,020		320,119			32,462,112	30,307,081
ST. ANGELA'S COLLEGE (F)	3,884,082		940,190		316,110		102,400	4,824,272	3,875,228
GALWAY-MAYO INSTITUTE OF TECHNOLOGY	36,874,005		7,302,327				462,550	44,621,062	42,831,968
TALLAGHT INSTITUTE OF TECHNOLOGY	22,065,571		3,119,804		342,330	470,000	60,000	26,749,272	25,270,743
LETTERKENNY INSTITUTE OF TECHNOLOGY	19,903,279		2,714,030		631,347	232,871	939,100	23,069,347	20,009,927
DUBLIN INSTITUTE OF TECHNOLOGY	118,820,404		18,117,537		159,167	7,161,753		145,861,013	139,191,673
IRISH UNIVERSITIES ASSOCIATION					822,219			1,512,429	
COUNCIL OF DIRECTORS OF IOT'S					1,512,429			1,308,750	
CARLOW INSTITUTE OF TECHNOLOGY	21,054,748		4,178,050		1,308,750	220,000	60,000	25,703,182	23,239,812
INSTITUTE OF TECHNOLOGY TRALEE	20,984,355		2,309,259		190,384		81,050	23,589,158	23,222,156
INSTITUTE OF TECHNOLOGY BLANCHARDSTOWN	11,940,280		1,951,192		214,494		193,550	14,321,172	13,439,134
DUN LAOGHAIRE INSTITUTE OF ART DESIGN AND TECHNOLOGY	12,078,839		3,414,060		236,150		35,000	15,552,599	14,166,545
					24,700			(3,912)	(3,672)
Tipperary Institute Of Technology	-		(7,000)		41,373			34,373	59,208
St Patrick's College Maynooth	-				-			-	-
Marine Institute Of Education	-				12,079			712,079	25,433
UK Institutions	-				422,167			663,449	331,785
Irish Institutions	-		-		3,005,030			3,052,605	2,790,430
Pontifical University	-		8,907		10,892			19,799	11,938
Area Development Management					2,280,000			2,280,000	2,275,000
Sub Total	1,020,322,060	875,000	344,691,171		15,979,548	23,960,932	4,199,750	1,410,028,461	1,314,467,408

Notes

- (a) The increased Intake heading includes funding in respect of the Post Graduate Skills Conversion Programme and the increase in the number of students in areas where particular manpower shortages have been identified e.g. ICT, Education, and the Health Sector.
- (b) Strategic Innovation Fund
- (c) Strategic Initiatives include the following: Irish including Irish Craiga funds €856,213 Outreach Centres €590,000, Lionra €150,000, National Digital Learning Repository €970,000, Modular Accreditation Programme €0, An Chéim €6,973,115, Irish University Quality Board €420,000, Aishe €50,000, Chest €50,000, E-Journals €210,987, National Digital Learning Respository €470,000, Cork IT HEAnet €2,789,246, Letterkenny Summer School €71,371 and Outreach Centre €220,000.
- (d) This refers to the Information Technology Investment Fund.
- (e) Included in the UCD annual grant is €200,000 paid to AHEAD (Association for Higher Education Access and Disability).
- (f) Mary Immaculate College is paid through the University of Limerick, St. Patrick's College Drumcondra and Mater Dei Institute are paid through Dublin City University. St. Angela's College is paid through NUI Galway.

Capital Grants 2008

	Building Grants	Equipment Grants	Maintenance Grants	Total 2008	Total 2007
	€	€	€	€	€
University College, Dublin	18,220,604	581,231		0	33,464
University College Cork	77,044	33,174		18,801,835	22,165,411
National University Of Ireland, Galway	3,982,952	62,194		110,218	2,774,500
National University Of Ireland, Maynooth				4,045,146	875,000
Trinity College Dublin				0	519,746
Dublin City University	5,120,465	617,451		0	962,479
University Of Limerick	2,688,668			5,737,916	9,242,755
National College Of Art And Design	2,715,962			2,688,668	1,408,395
St. Patrick's College, Drumcondra	3,228,773	19,494		2,715,962	2,152,930
Mary Immaculate College	3,911,223			3,248,267	4,377,029
Total	36,034,468	1,313,544		37,348,012	44,511,709

Research Initiative Grant Expenditure Schedule 4.

	Prtli Recurrent	Tech. Sector Research	Media Lab Europe	Ircset Research Schemes	Irchss Research Schemes	North South	Irish Aid	Prtli Building Grants	Prtli Equipment Grants	Total 2008	Total 2007
	€	€	€	€	€	€	€	€	€	€	€
University College, Dublin	2,429,788		(36,230)	4,919,041	3,345,925		38,610	10,308,915	12,697	22,680,053	21,764,467
University College Cork	6,100,484			5,902,343	1,789,628	482		7,788,901	179,353,775	29,775,253	29,542,170
National University Of Ireland, Galway			(10,940)	2,871,154	1,237,204			9,305,683	127,081,062	18,028,466	14,492,213
National University Of Ireland, Maynooth	1,496,912			1,486,024	1,015,464		174,486	4,207,527	104,020,615	10,245,538	6,158,302
Trinity College Dublin	1,622,380			4,128,810	3,026,980	(10,975)	124,788	7,042,882	48,889,381	22,250,875	19,856,063
Dublin City University	1,094,767			2,620,513	356,119			4,773,897	131,553,349	13,250,088	11,739,775
University Of Limerick	548,799			2,807,093	755,656		49,700	2,858,397	55,065,874	10,707,617	7,713,679
Royal College Of Surgeons	1,185,947			335,526			230,525	227,500	77,694,875		10,596,114
Athlone Institute Of Technology		655,824		36,113				1,733,055	12,848,226	2,504,992	778,314
Cork Institute Of Technology	1,305,533	867,636		197,024				644,699	3,675,200	3,205,752	2,449,375
Dublin Institute Of Technology	684,288	2,074,500		237,887	119,558		138,327	148,093	19,299,674	4,548,438	4,390,216
Dublin Institute Of Advanced Studies	762,468			361,540	38,335				18,752,667	2,762,343	4,275,430
Dundalk Institute Of Technology		361,253		36,004			75,930	250,000	3,706,307	1,273,187	349,309
Dunlaoghaire Institute Of Technology		297,128			21,000				3,650,000	318,128	207,950
Galway - Mayo Institute Of Technology		663,218		34,953			50,000	86,715	4,118,700	1,034,886	549,998
Institute Of Technology, Blanchardstown		151,147			(2,019)			24,428	25,235,907	310,099	187,527
Institute Of Technology, Carlow		331,213		116,015					26,309,47	447,228	377,019
Institute Of Technology, Tallaght	504,174	890,144		120,015				541,592	0	3,514,050	1,722,631
Institute Of Technology, Tralee		134,148		90,860	47,597			659,710	61,828,000	1,296,605	192,871
Institute Of Technology, Sligo		298,626			8,535			25,000	27,166,037	446,951	359,435
Letterkenny Institute Of Technology		278,872		24,003				215,395	48,651,048	581,746	100,504
Limerick Institute Of Technology		270,443		36,004				354,487	30,307,081	803,025	226,022
Waterford Institute Of Technology	6,900	774,626		204,026	71,875			2,144,345	3,875,228	4,363,274	2,283,920
Heanet	10,235,000								42,831,968	10,235,000	11,185,003
National College Of Art And Design	214,299				82,649		9,524		25,270,743	223,823	447,000
Mary Immaculate College					209,747		234,172	10,576	20,009,927	351,821	588,376
St. Patrick's College, Drumcondra								170,872	139,191,673	488,714	80,271
U.K Institutions							241,282			241,282	241,279
Marine Institute Of Education	700,000									700,000	
Teagasc				50,650					23,239,812	50,650	48,149
Irish Institutions							47,575		23,222,156	47,575	0
NORFACE / ESF/HEA	4,000			29,500	897,464				13,439,134	930,964	201,271
Iclrd	400,068								14,166,545	400,068	200,503
loti		122,746								122,746	87,306
Royal Irish Academy	521,542									521,542	520,000
Esri					134,177					134,177	193,589
Nci				12,002						12,002	
Total	29,816,749	8,171,524	(47,170)	26,657,100	13,155,894	(10,493)	1,414,919	53,522,669	40,777,330	173,458,522	154,108,051

Appendix 2

Extracts from 2008 Draft Accounts as approved for Audit by HEA 24th March 2009

Income & Expenditure Account For The Year Ended 31st December 2008

SOCRATES - ERASMUS GRANTS 2008

	Total 2008	Total 2007
	€	€
University College, Dublin	560,318	599,932
University College Cork	528,404	443,154
National University Of Ireland, Galway	445,205	349,401
National University Of Ireland, Maynooth	103,893	97,255
Trinity College Dublin	445,004	375,363
Dublin City University	220,390	277,421
University Of Limerick	909,908	596,219
National College Of Art And Design	97,797	58,641
Mary Immaculate College	21,675	87,442
St. Patrick's College, Drumcondra	32,454	18,378
Athlone Institute Of Technology	25,833	15,895
Blanchardstown Institute Of Technology	17,687	28,907
Institute Of Technology, Carlow	19,979	26,089
Cork Institute Of Technology	107,317	126,905
Dublin Institute Of Technology	398,177	351,038
Dundalk Institute Of Technology	72,176	39,942
Dunlaoghaire Institute Of Technology	4,960	0
Galway – Mayo Institute Of Technology	200,334	194,104
Letterkenny Institute Of Technology	8,536	4,595
Limerick Institute Of Technology	12,056	96,693
Institute Of Technology, Sligo	122,744	(8,943)
Tipperary Institute Of Technology	(7,000)	5,029
Institute Of Technology, Tallaght	43,768	50,029
Institute Of Technology, Tralee	34,528	14,650
Waterford Institute Of Technology	67,962	50,804
Froebel College Of Education	6,267	8,966
Mater Dei Institute Of Education	19,984	15,782
Milltown Instit. Of Theology & Philosophy	(1,116)	8,690
Shannon College Of Hotel Management	140,507	185,849
St. Angelas College	8,953	100
St. Catherine's College Of Education For Home Economics	0	(3,672)
Pontifical University	8,907	0
St. Nicholas Montessori College	7,792	5,993
Royal Irish Academy Music	13,947	(3,601)
Griffith College	18,590	2,833
All Hallows College	0	441
Total	4,717,936	4,120,324

Appendix 2

An tÚdaras um Ard-Oideachas

Schedules to the Financial Statements for the year ended 31 december 2008

National Office For Equality Of Access To Higher Education

Schedule 6.

	Students with Disabilities	Student Assist. Fund	Millennium Partnership Fund	Comm. Initiative	Total 2008	Total 2007
	€	€	€	€	€	€
University College Dublin	275,416	676,611			952,027	1,250,42
University College Cork	1,040,534	533,007			1,573,541	1,106,901
National University Of Ireland, Galway	250,174	477,443			727,617	1,165,825
National University Of Ireland, Maynooth	429,300	217,055			646,355	655,173
Trinity College Dublin	620,850	526,196			1,147,046	1,293,804
Dublin City University	146,330	303,185			449,515	458,022
University Of Limerick	346,974	343,122			690,096	802,959
National College Of Art And Design	125,352	26,522			151,874	177,275
Mary Immaculate College	59,045	106,849			165,894	141,367
St. Patrick's College, D.	40,824				40,824	3,949
Athlone Inst. Of Technology	199,027	137,916			336,943	357,280
Blanchardstown Inst. Of Technology	142,529	38,149			180,678	263,692
Inst. Of Technology, Carlow	45,276	114,431			159,707	262,434
Cork Institute Of Technology	421,276	171,230			592,506	431,350
Dublin Inst. Of Technology	522,221	426,953			949,174	816,782
Dundalk Inst. Of Technology	148,795	114,824			263,619	196,393
Dunlaoghaire Institute Of Technology	217,925	56,340			274,265	209,550
Galway – Mayo Institute Of Technology	269,281	190,022			459,303	438,997
Letterkenny Institute Of Technology	345,171	86,772			431,943	185,534
Limerick Inst. Of Technology	261,912	148,344			410,256	488,658
Institute Of Technology, Sligo	135,215	122,178			257,393	340,752
Inst. Of Technology, Tallaght	39,749	84,588			124,337	212,009
Inst. Of Technology, Tralee	119,211	94,696			213,907	284,925
Waterford Inst. Of Technology	235,167	242,307			477,474	566,451
Froebel College Of Education		4,716			4,716	5,449
Mater Dei Inst. Of Education		14,569			14,569	15,410
National College Of Ireland	94,032	31,926			125,958	176,000
Royal College Of Surgeons In Ireland		9,126			9,126	6,448
St. Angela's College	16,169	17,823			33,992	43,542
Tipperary Inst. Of Technology	26,684	14,689			41,373	54,179
UK Institutions	414,823	7,344			422,167	331,785
IRISH INSTITUTIONS	2,999,957	5,073			3,005,030	2,790,430
Pontifical University		10,892			10,892	11,938
Area Development Management			2,280,000		2,280,000	2,275,000
Irish Institutions Community Init.				100,000	100,000	100,000
St. Catherine's College Of Education		(3,912)			(3,912)	0
Marine Institute		12,079			12,079	25,433
Shannon College Of Hotel Man.	14,075				14,075	987
TOTAL	10,003,294	5,363,065	2,280,000	100,000	17,746,359	17,947,135

Organisation Structure

List of HEA Executive Staff as at Dec. 31st, 2008.

December 2008	
Chief Executive	Tom Boland
Deputy Chief Executive	Mary Kerr
Head of Research and Capital Programmes	Eucharía Meehan
Head of Policy & Planning	Caitríona Ryan * on maternity leave, Muiris O'Connor acting head
Head of Institutes of Technology Unit	Fergal Costello seconded to National Strategy Secretariat, Mary Armstrong & Jennifer Gyax acting heads
Head of National Office for Equity of Access to Higher Education	Mary-Liz Trant* on leave Peter Brown acting head
Head of Administration & Secretary to the Board	Padraic Mellett
Head of Communications	Malcolm Byrne
Management Accountant	Stewart Roche
Capital Projects Consultant	Dick Sweeney Damian Kilgannon
Head of IT Skills Project Team	Pat O'Connor
Assistant Secretary – Recurrent Grants	Louise Sherry (IoTs) Valerie Harvey, acting (University, Colleges)
Manager Capital Programmes	Ruth Davis
Assistant Secretary – Research	Sheena Duffy* on maternity leave Gemma Irvine Niall O'Connell acting
Head of European Programmes	Gerry O'Sullivan
Assistant Secretary - Statistics	Vivienne Patterson
Senior Policy Analyst – Policy & Planning	Tim Conlon – seconded to IRCHSS Oliver Mooney, acting
Senior Policy Analyst – Access Office	Orla Christie
Programme Manager - Access Office	Alan McGrath, acting
Personnel & Accounts	Brian Johnston Margaret Brennan Mary Meade Modesta Mawarire
Reception	Shauna Brennan Graham Barry
Services	Bridget Kelly Caroline Curtis
Information Technology	John Muldoon Alan Corcoran
Policy & Planning	Valerie Hayes Therese Mac An Airchinnigh
Statistics	Dawn Murray Jenny Maguire Fergal Noone

Organisation Structure

List of HEA Executive Staff as at Dec. 31st, 2008.

December 2008	
Recurrent Grants -Institutes of Technology	Maura O'Shea * maternity leave Justin Sinnott Mary Daly Colm McGovern
Recurrent Grants – Universities, Colleges	Neil McDermott Mark Kirwan Patrick O'Dwyer
European Programmes	Mary May Eileen O'Connell Mary Dunne
Capital Programmes	Emer Musonda (ERDF/ESF Co-ordinator) Leonora Harty Patricia Carroll
Research Programmes	Olive Walsh Eileen O'Malley Sarah Dunne Maureen Burgess Sharon O'Rourke Anne Hayden
Foresight in Arts, Humanities and Social Sciences Exercise	Jane Sweetman Abigail Chantler
National Office for Equity of Access to Higher Education	Diane Swanton Niamh Godley David Sheils Ganiyah Adesina
Irish Research Council for the Humanities and Social Sciences	Dipti Pandya, Director (on maternity leave, Tim Conlon, acting head)
Sciences	Fiona Davis Sorcha Carthy Kathryn Kozarits (Dariah Project) Maria O'Brien Maurice Murphy
Irish Research Council for Science, Engineering and technology	MMartin Hynes, Director Justin Synnott Jennifer Brennan (EU Empower & Inspire Schemes) Derek O'Brien Maria Cross

Institutions funded by the HEA

Universities

University College Cork (UCC)
University College Dublin (UCD)
National University of Ireland (NUIG)
National University of Ireland (NUIM)
The University of Dublin (TCD)
The University of Limerick (UL)
Dublin City University (DCU)
National University of Ireland (NUI)

Institutes of Technology

Athlone Institute of Technology
Institute of Technology, Blanchardstown
Institute of Technology, Carlow
Cork Institute of Technology
Dundalk Institute of Technology
Dún Laoghaire Institute of Art, Design and Technology
Galway-Mayo Institute of Technology
Letterkenny Institute of Technology
Limerick Institute of Technology
Institute of Technology, Sligo
Institute of Technology, Tallaght
Institute of Technology, Tralee
Waterford Institute of Technology
and Dublin Institute of Technology

Other Institutions

Royal College of Surgeons Ireland (RCSI)
National College of Art and Design (NCAD)
Royal Irish Academy (RIA)
Mater Dei Institute of Education
Mary Immaculate College, Limerick
St Patrick's College, Drumcondra
St. Angela's College, Sligo