


































UCC	
Issues	
1. Compliance with legislation	
2. Code of Governance	
3. Members' Code	
4. Employees' Code	
5. Financial Developments	
6. Pay	
7. Financial Reporting	
8. Internal Audit	
9. Procurement	
10. Asset disposal	
11. Capital Proposals	
12. Travel	
13. Value for Money	
14. Tax	
15. Child Protection Policy	
16. Fees and expenses	
17. Fees and expenses in Annual Report	
18. Aggregate fees and expenses	
19. Subsidiaries	
20. General non-compliance	
21. Protected Disclosures	
22. Gov. Auth. Responsibility for internal control	
23. Assurance against error	
24. Control environment	
25. Business risks	
26. Information systems	
27. Implications of risk	
28. Monitoring effectiveness of internal control	
29. Review of effectiveness of internal control	
30. Weaknesses in internal control	
31. Corrective action	
32. Gov. Auth. meetings	
33. Audit Comm. meetings	
34. Review of Gov. Auth. Performance	
35. Salary of President	
36. Other issues	



Green indicates compliance with relevant requirement



Yellow indicates non-compliance but corrective action has or will be taken



Red indicates non-compliance with little or no evidence of corrective action